

# On 3-Coloring Circle Graphs

GD 2023

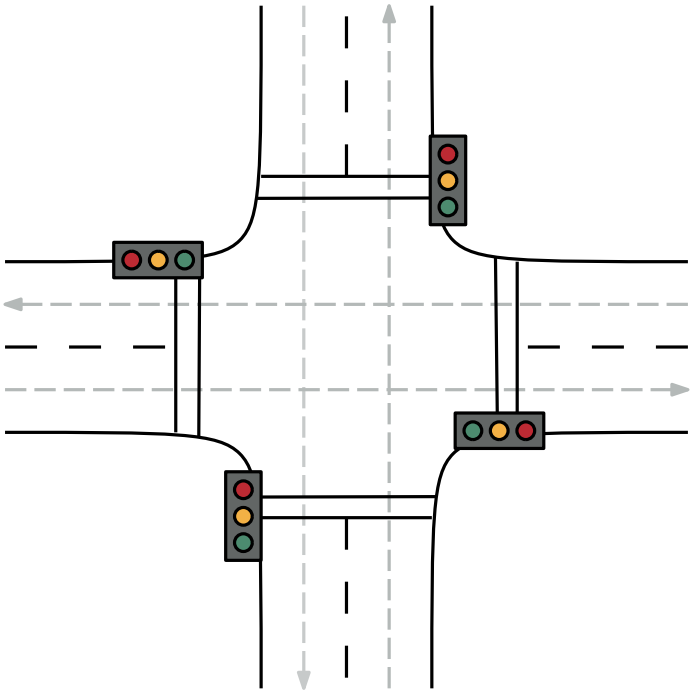
Patricia Bachmann, Ignaz Rutter and Peter  
Stumpf



# Introduction

## **$k$ -Page Book Embedding**

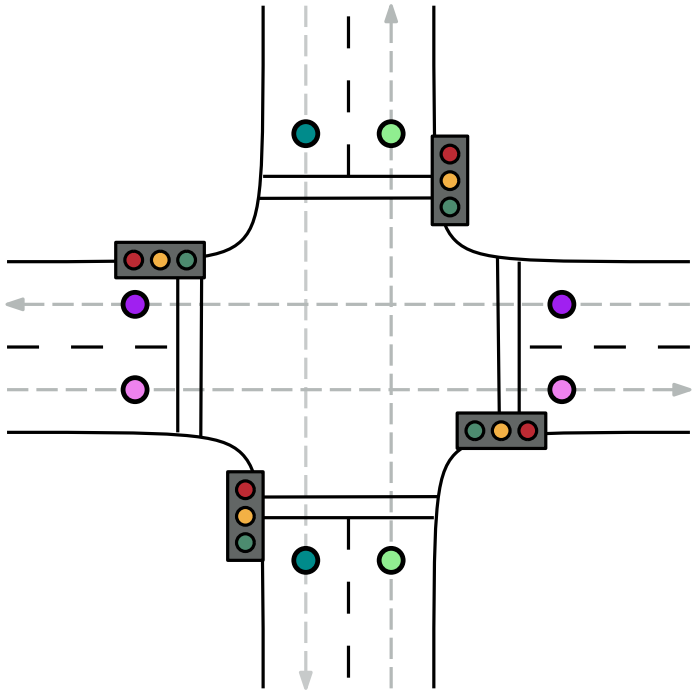
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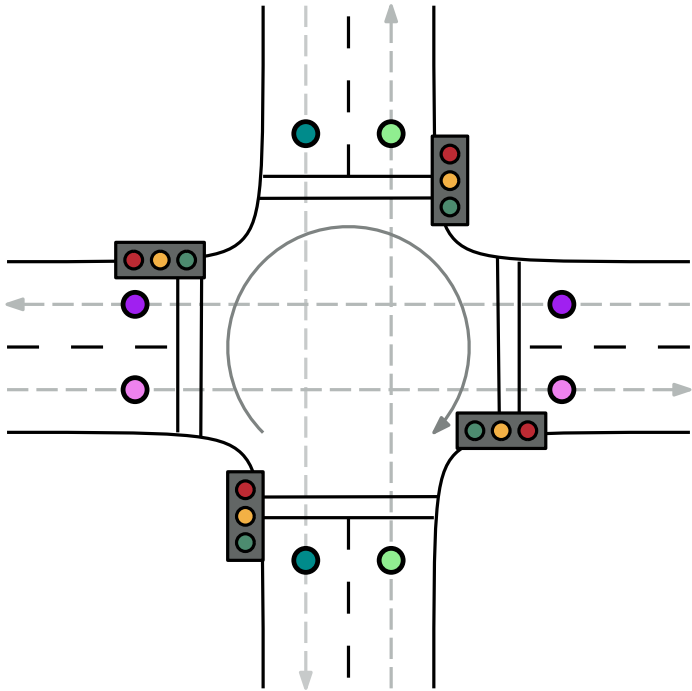
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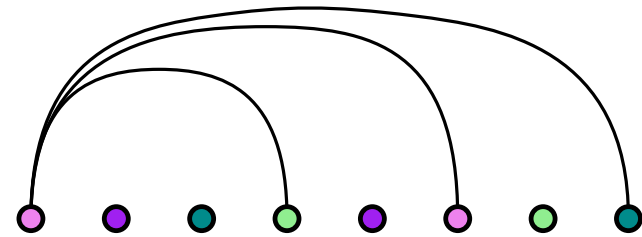
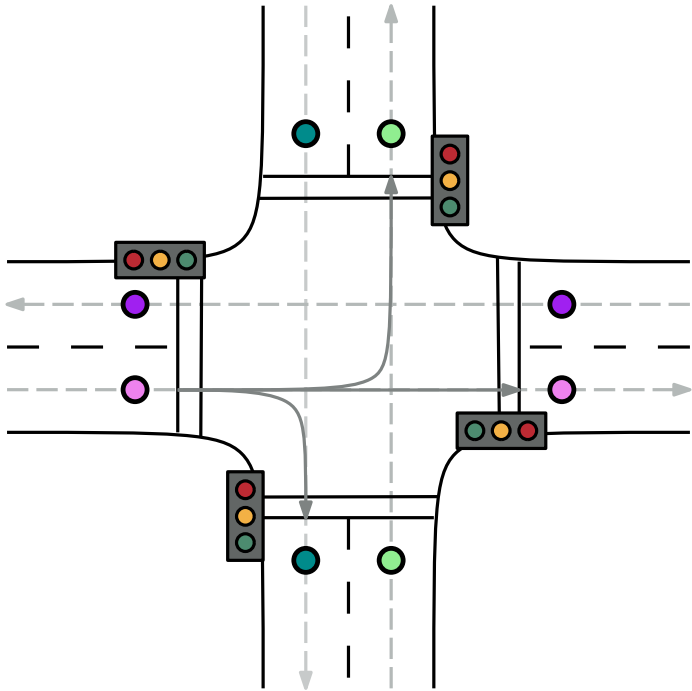
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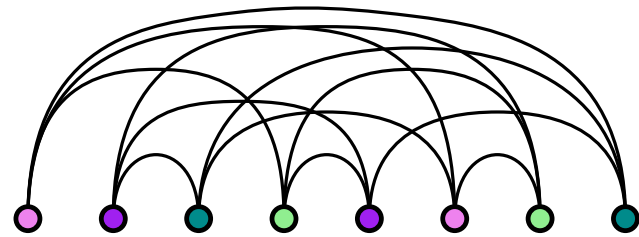
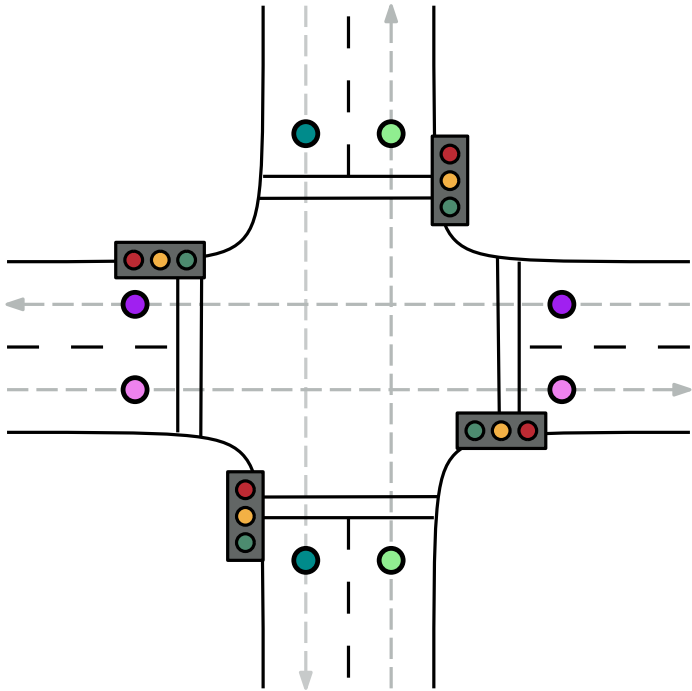
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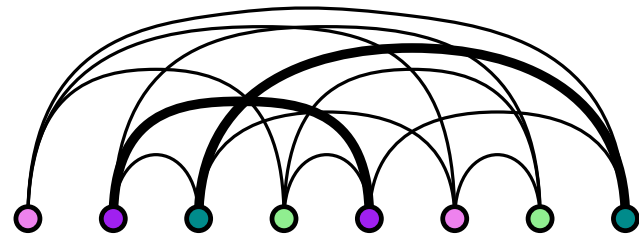
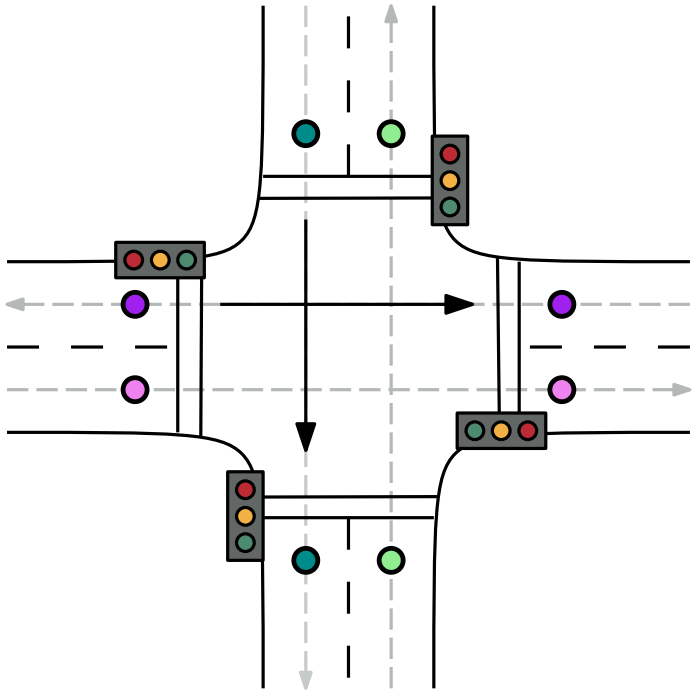
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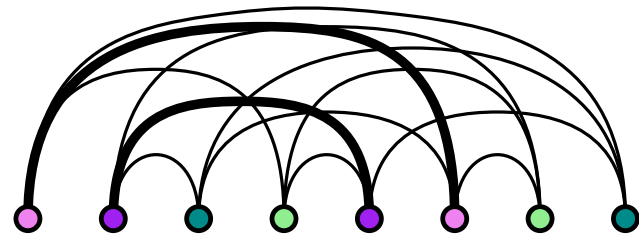
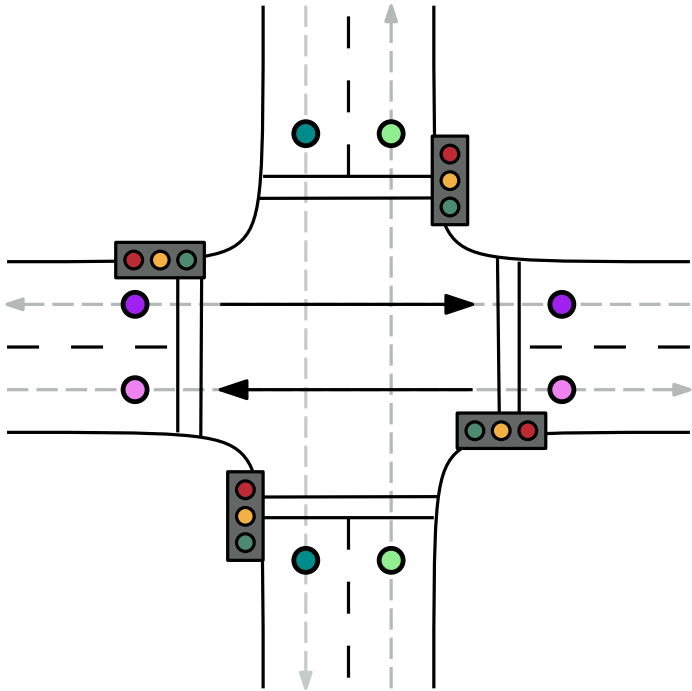
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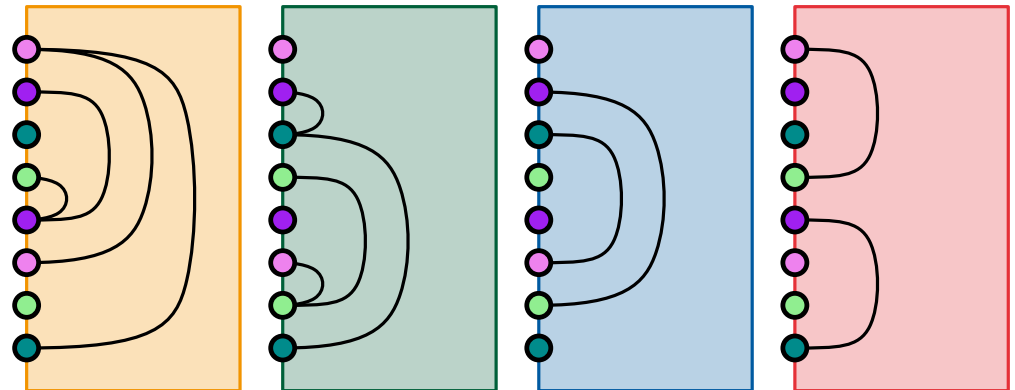
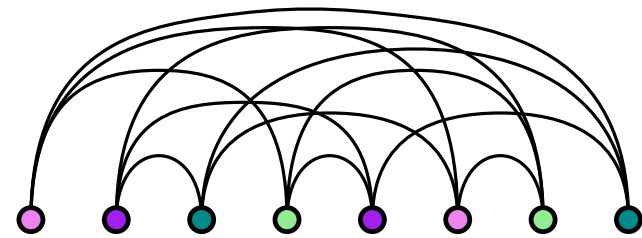
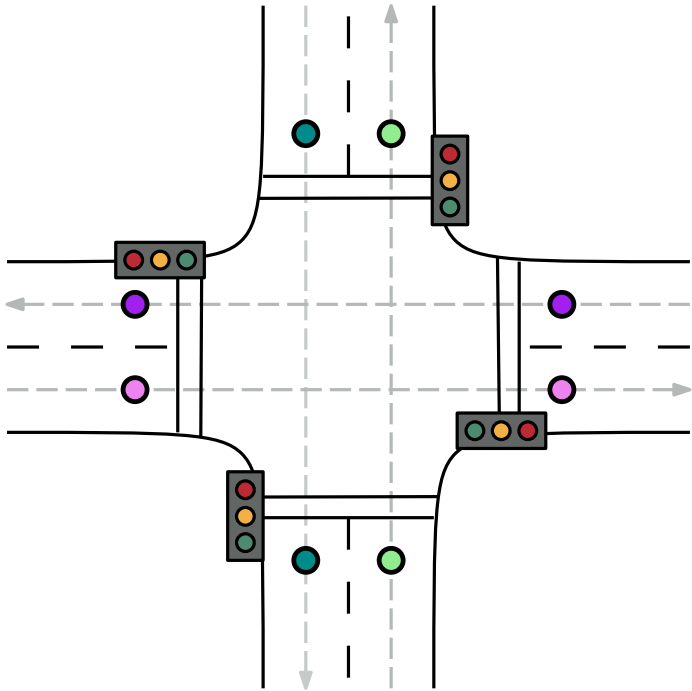




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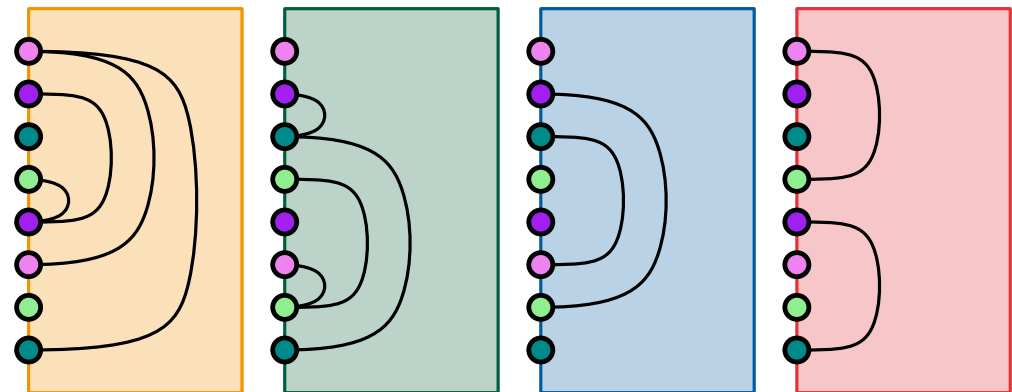
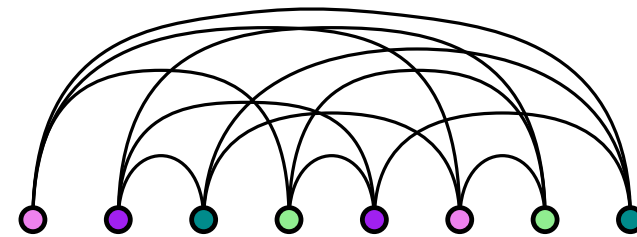
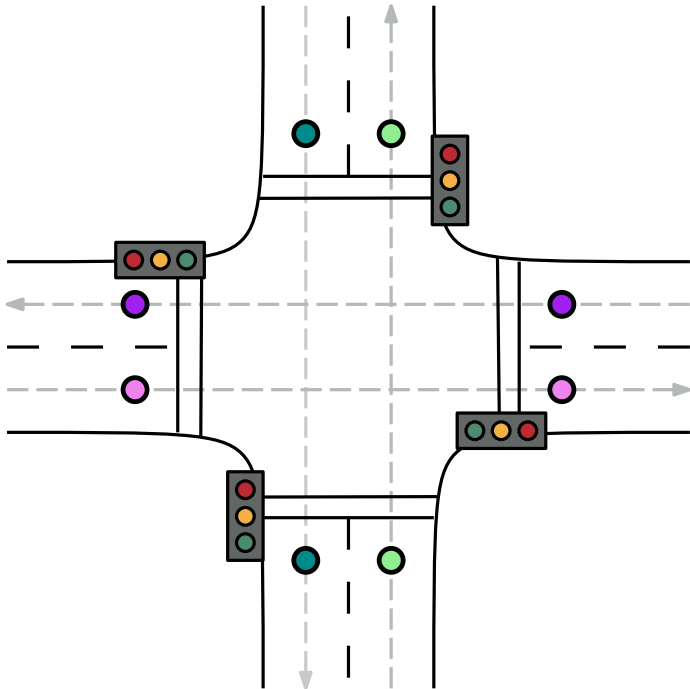


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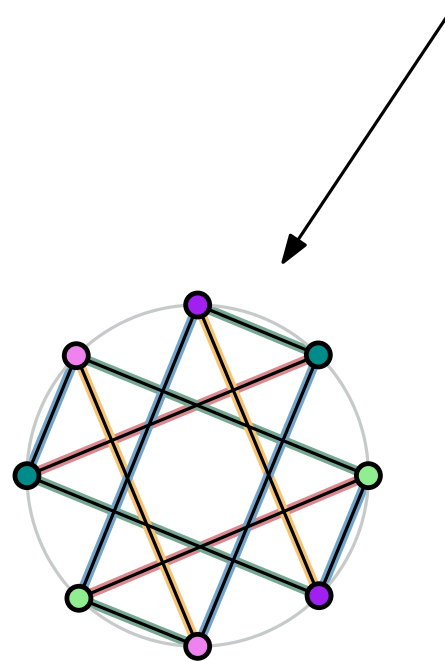
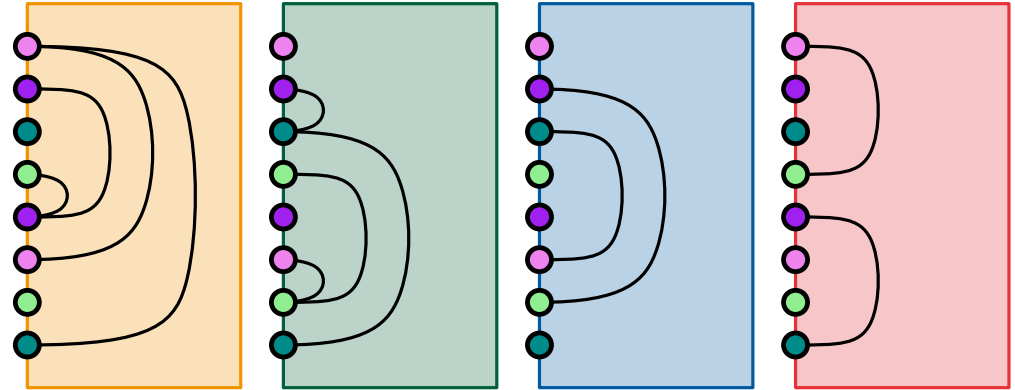
- VLSI design [Chung, Leighton, Rosenberg '87]
- arc diagrams [Wattenberg '87]
- circular layouts [Baur, Brandes '04]
- clustered planarity [Hong, Nagamochi '18]
- simultaneous embedding [Angelini, de Battista, Frati, Patrignani, Rutter '12]



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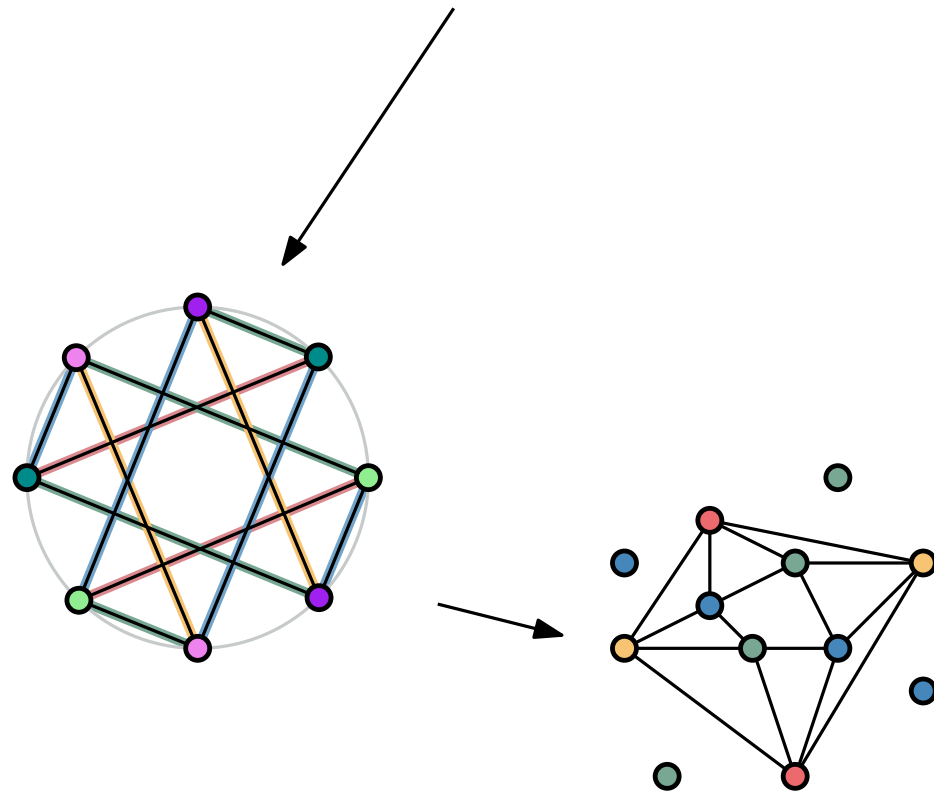
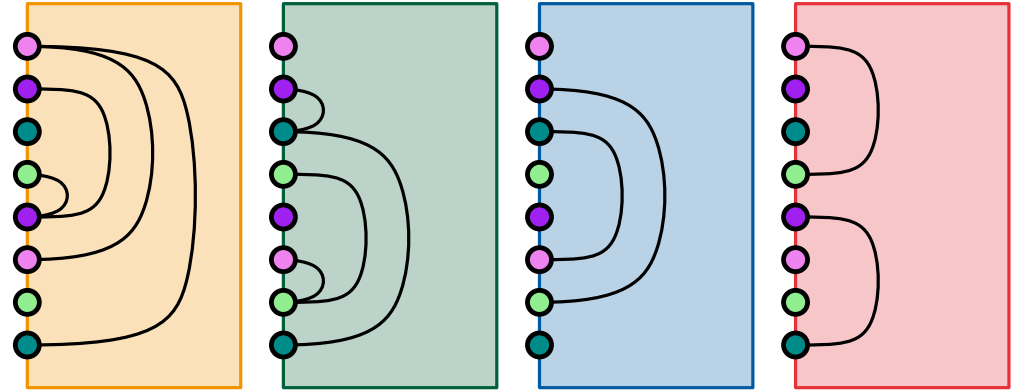
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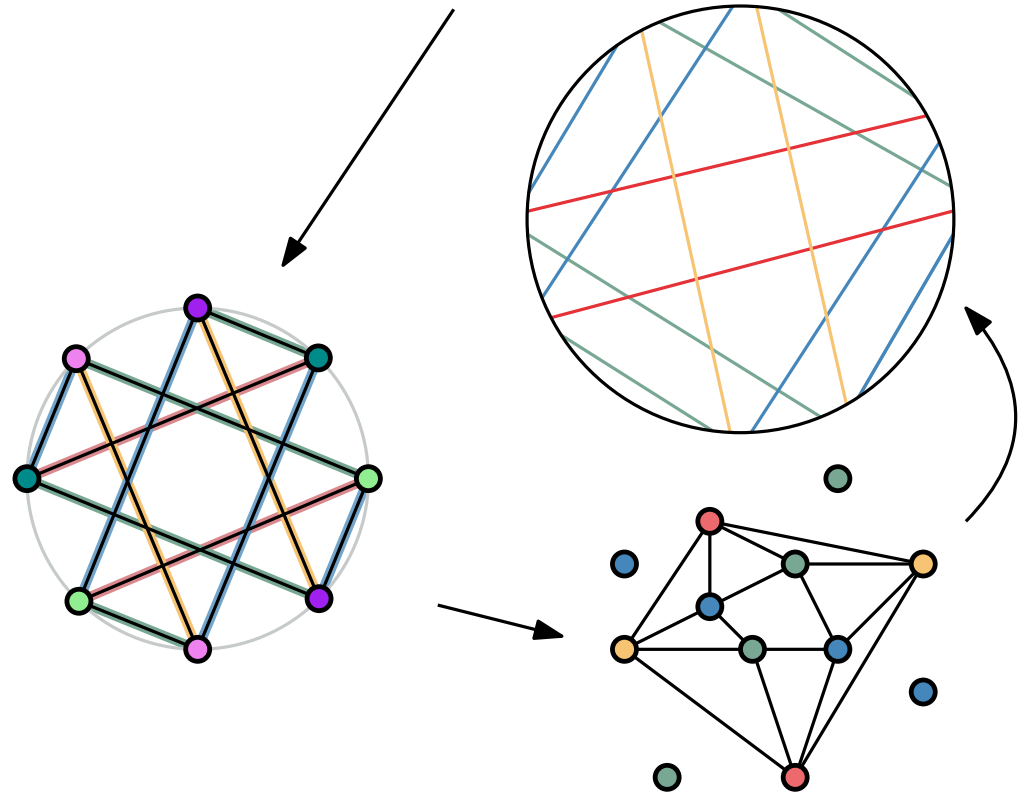
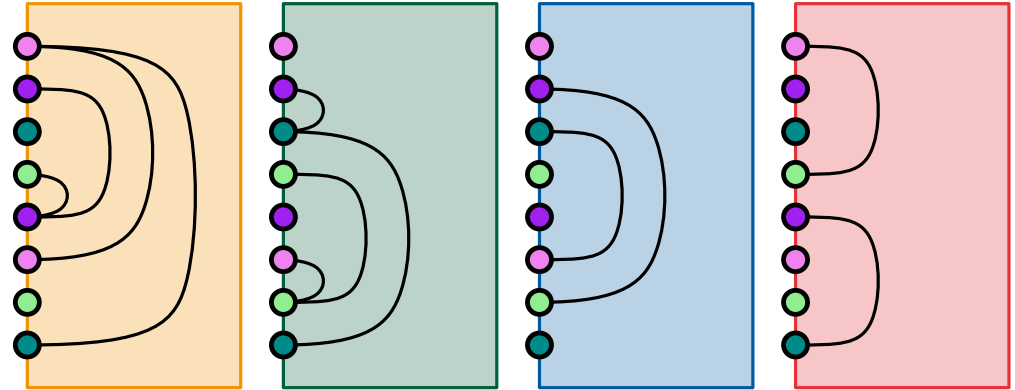
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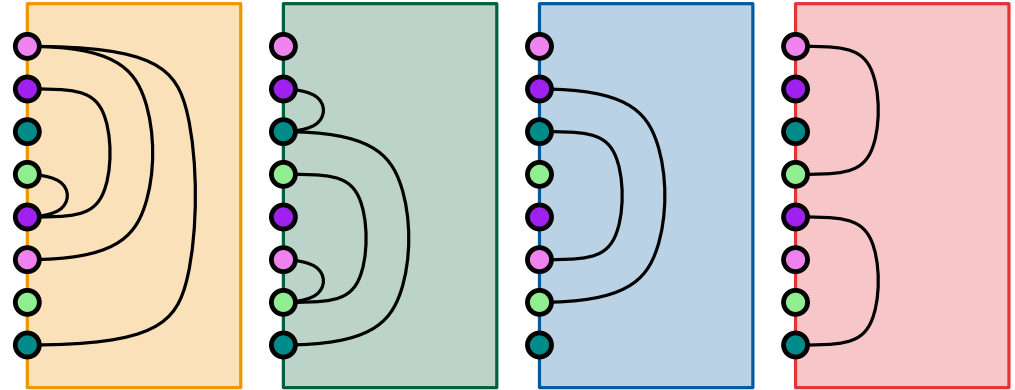
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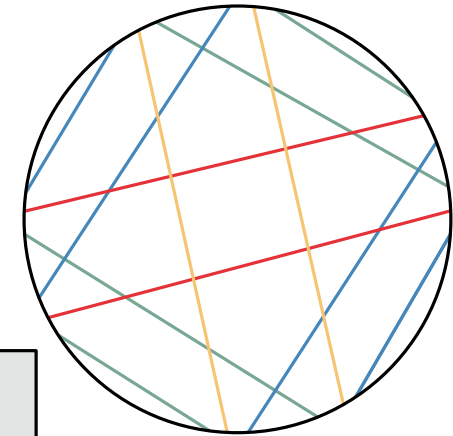
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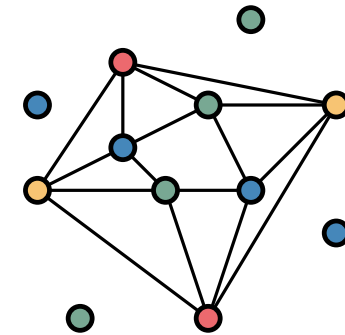
## CIRCLE GRAPH

- vertices of  $G$  represented as chords on a circle
- two chords cross  $\Leftrightarrow$  vertices are adjacent



## COLORING

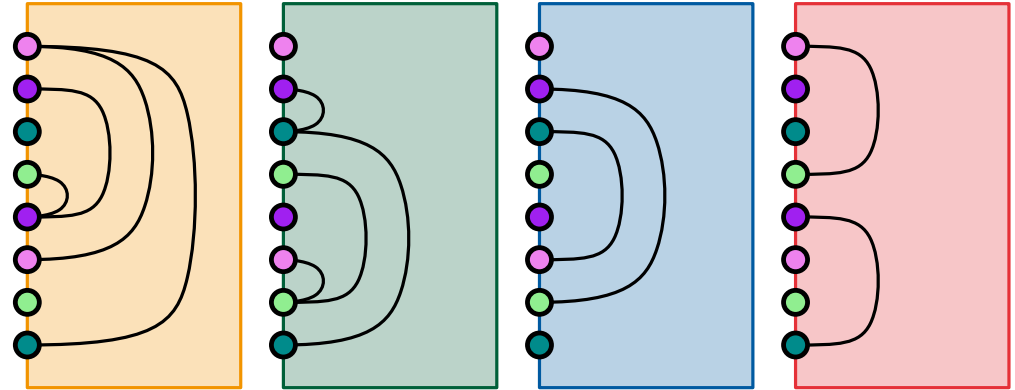
- color a graph  $G$ : adjacent vertices have a different color
- find smallest number of colors s.t.  $G$  can be colored



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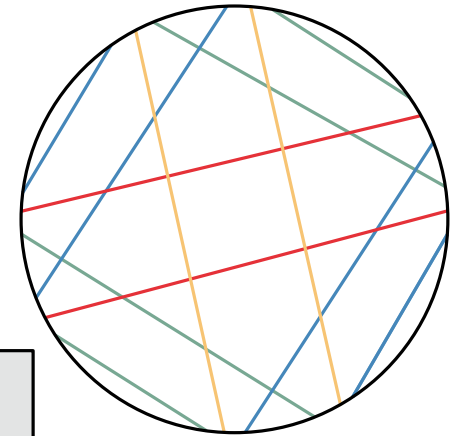
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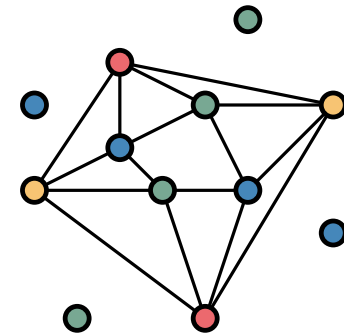
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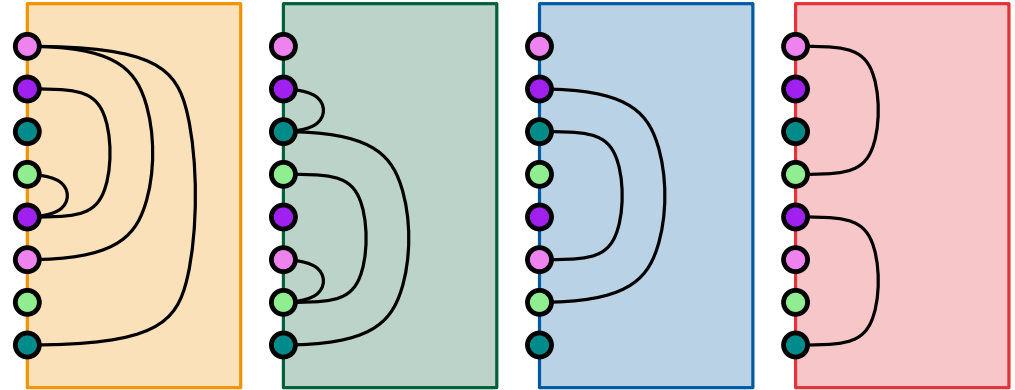
- color a graph  $G$ : adjacent vertices have a different color
- can  $G$  be colored using at most  $k$  colors?



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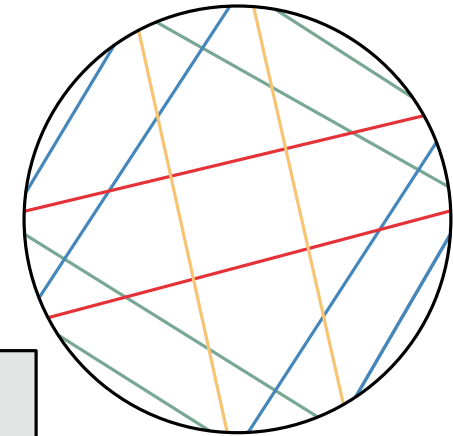
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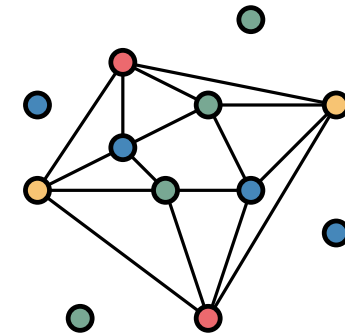
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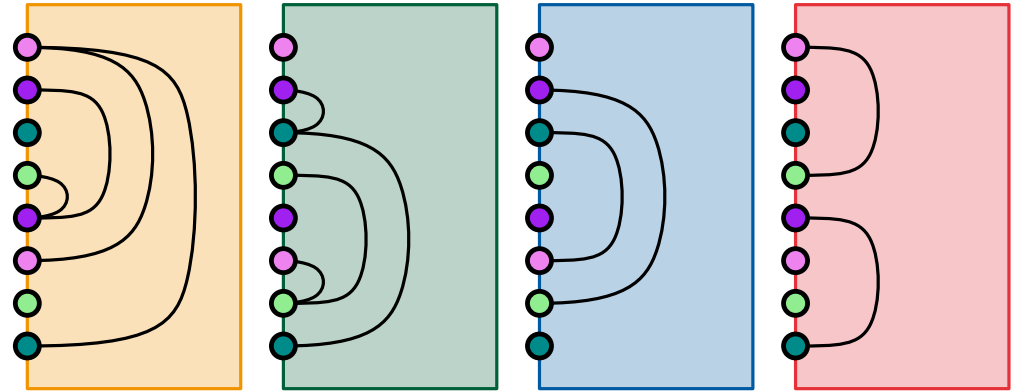




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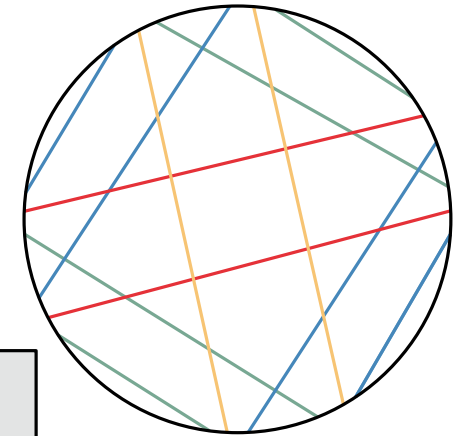
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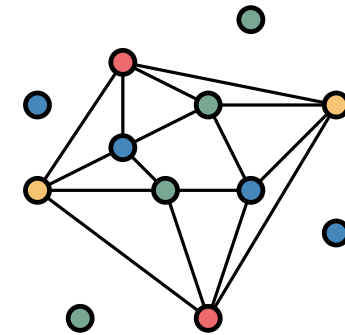


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Unger (1990):

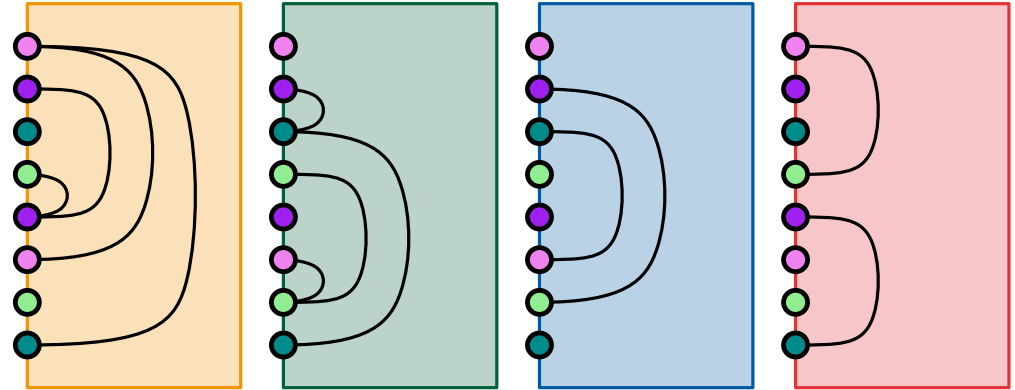
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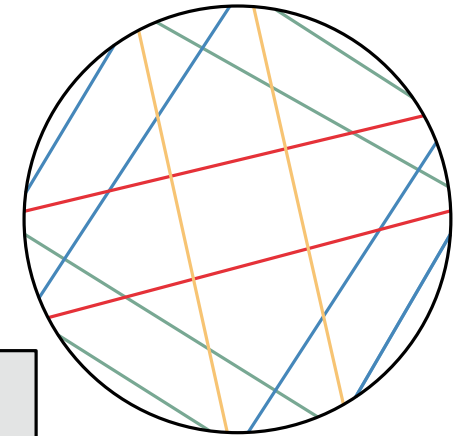
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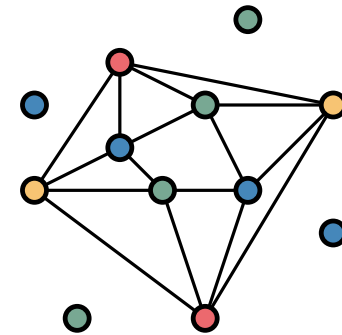


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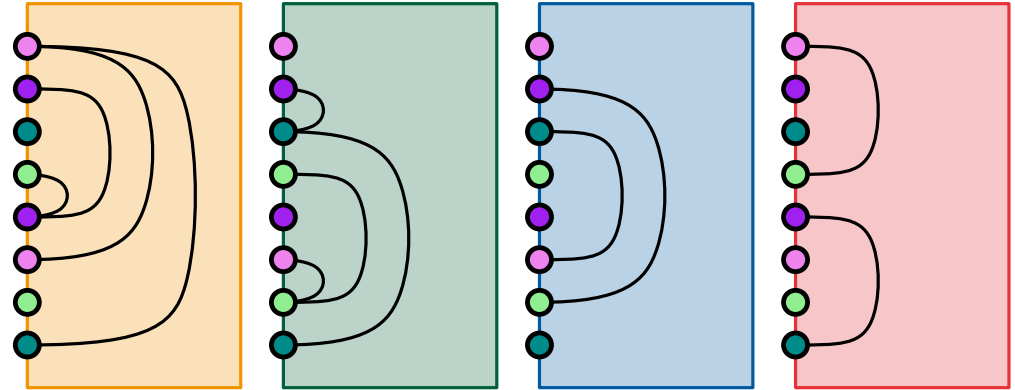
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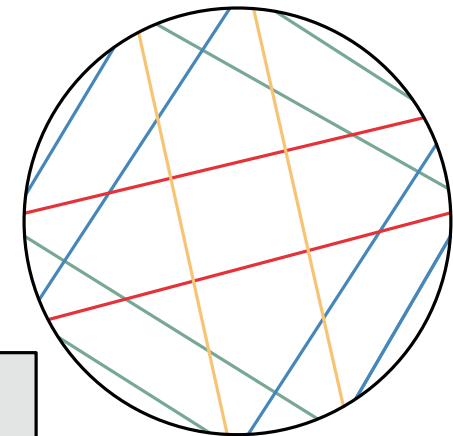
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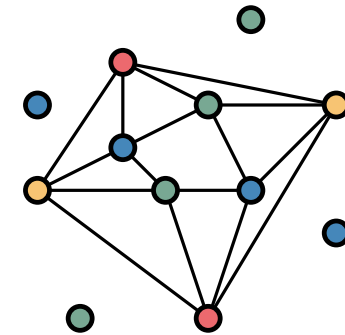


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  - $\rightarrow$  proofs for 3-coloring were never published in an archival paper, only in his PhD Thesis
  - $\rightarrow$  unfortunate state of affairs pointed out by David Eppstein and Dujmović, Pór, Wood



# Outline

3-coloring Circle Graphs

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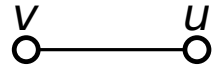
3-coloring Circle Graphs

2-SAT

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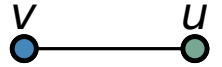
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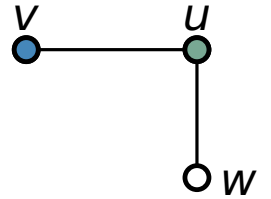
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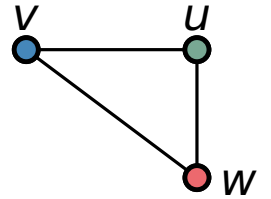




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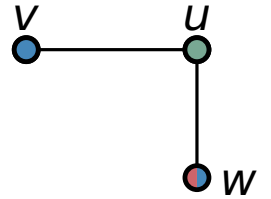
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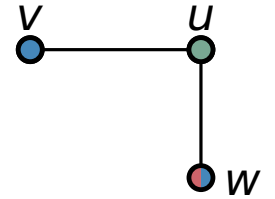


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## 3-coloring Circle Graphs

- define function  $c_{\text{aux}} : \mathcal{D} \rightarrow \{\text{true}, \text{false}\}$

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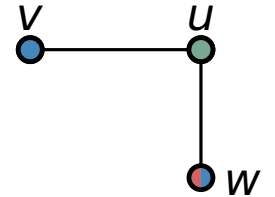


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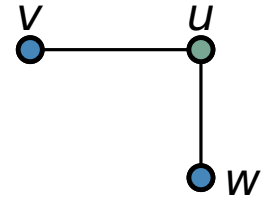


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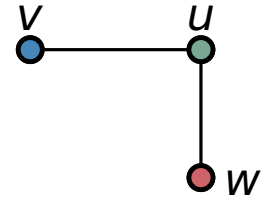
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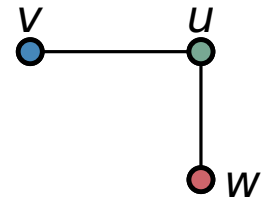
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- define function  $c_{\text{aux}} : \mathcal{D} \rightarrow \{\text{true}, \text{false}\}$
  - assuming  $G$  connected and  $c_{\text{aux}}$  "correct"  $\Rightarrow$  unique 3-coloring
- $\subseteq \binom{V(G)}{2}$ , common neighbor but not adjacent



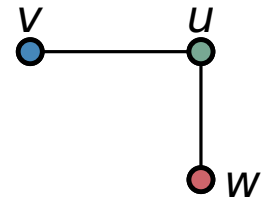
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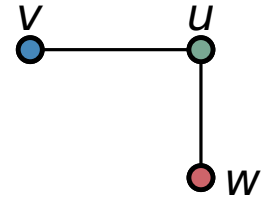
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- constraints for  $c_{\text{aux}}$  ensure 3-coloring for subgraphs

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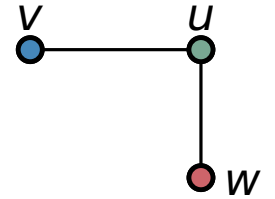
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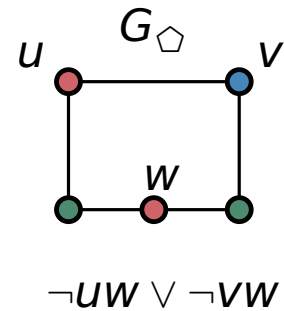
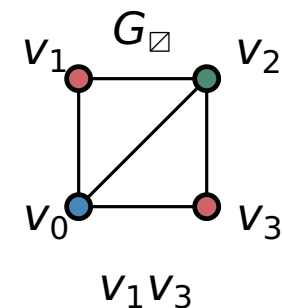
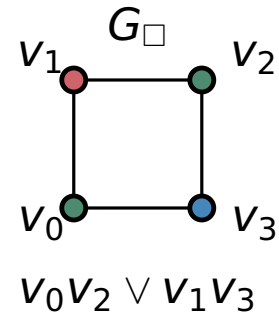
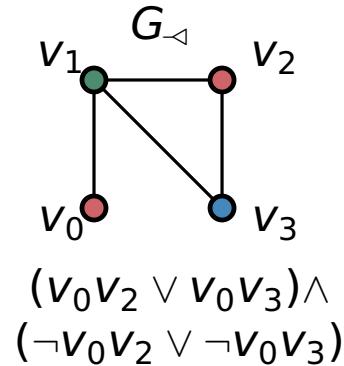
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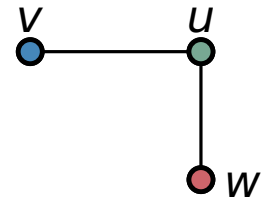
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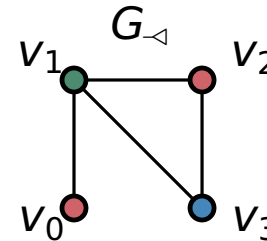
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- solve **2-SAT** instance to get values for  $c^*$

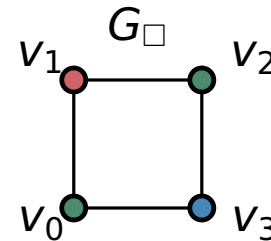
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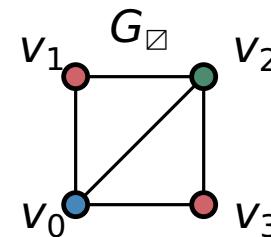
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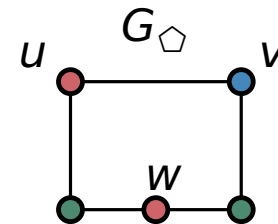
$$(v_0 v_2 \vee v_0 v_3) \wedge (\neg v_0 v_2 \vee \neg v_0 v_3)$$



$$v_0 v_2 \vee v_1 v_3$$



$$v_1 v_3$$



$$\neg u w \vee \neg v w$$

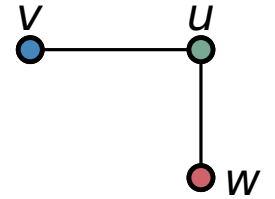
# Outline

## 3-coloring Circle Graphs

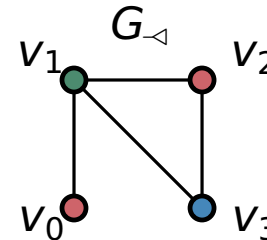
## 2-SAT

- define function  $c_{aux} : \mathcal{D} \rightarrow \{\text{true}, \text{false}\}$ 

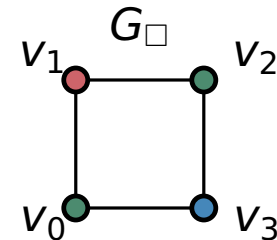
$\subseteq \binom{V(G)}{2}$ , common neighbor but not adjacent
- assuming  $G$  connected and  $c_{aux}$  "correct"  $\Rightarrow$  unique 3-coloring
- constraints for  $c_{aux}$  ensure 3-coloring for subgraphs
- solve 2-SAT instance to get values for  $c^*$
- use backtracking to compute  $c_{aux}$  for cycles of length  $\geq 5$



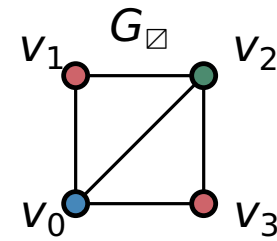
$c_{aux}(v, w) = \text{false}$



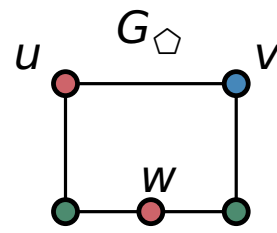
$(v_0v_2 \vee v_0v_3) \wedge (\neg v_0v_2 \vee \neg v_0v_3)$



$v_0v_2 \vee v_1v_3$



$v_1v_3$



$\neg uW \vee \neg vW$

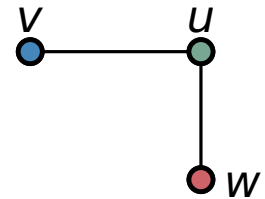
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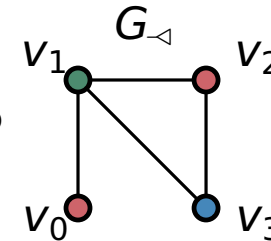
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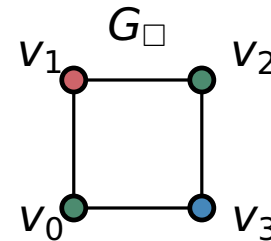
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Unger claims:

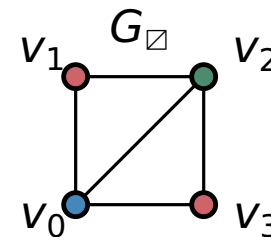
- SAT formula is satisfiable  $\Leftrightarrow$  graph is 3-colorable



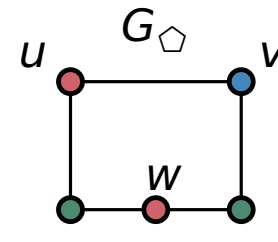
$(v_0v_2 \vee v_0v_3) \wedge$   
 $(\neg v_0v_2 \vee \neg v_0v_3)$



$v_0v_2 \vee v_1v_3$



$v_1v_3$



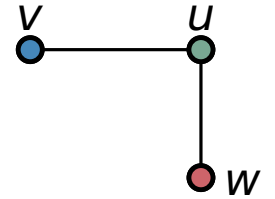
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## 3-coloring Circle Graphs

## 2-SAT

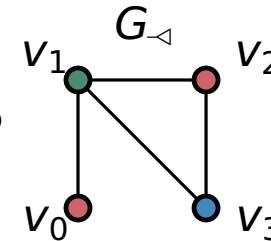
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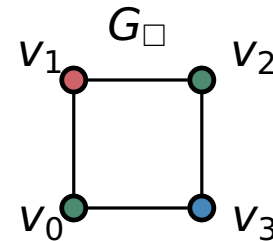
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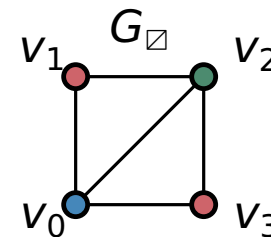
- SAT formula is satisfiable  $\Leftrightarrow$  graph is 3-colorable
- backtracking tree has  $O(\log n)$  leaves



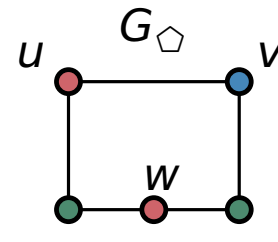
$(v_0v_2 \vee v_0v_3) \wedge$   
 $(\neg v_0v_2 \vee \neg v_0v_3)$



$v_0v_2 \vee v_1v_3$



$v_1v_3$



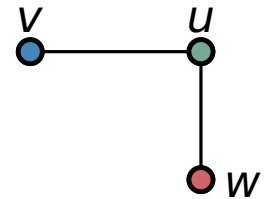
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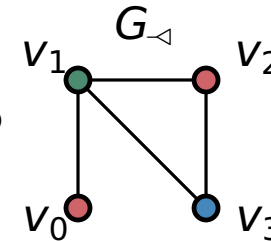


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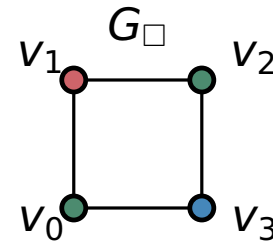
Unger claims:

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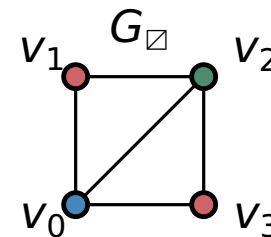
Our contribution: counterexamples to both claims



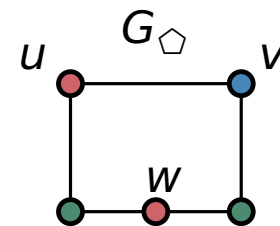
$(v_0v_2 \vee v_0v_3) \wedge$   
 $(\neg v_0v_2 \vee \neg v_0v_3)$



$v_0v_2 \vee v_1v_3$



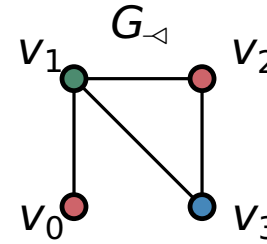
$v_1v_3$



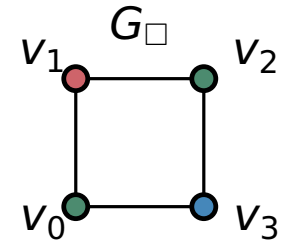
$\neg uW \vee \neg vW$

# First Counterexample

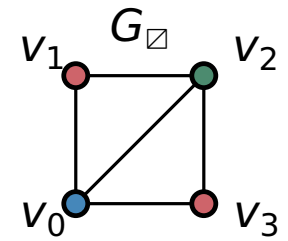
**Claim 1.** SAT formula is satisfiable  $\Leftrightarrow$  graph is 3-colorable



$$(v_0v_2 \vee v_0v_3) \wedge (\neg v_0v_2 \vee \neg v_0v_3)$$



$$v_0v_2 \vee v_1v_3$$

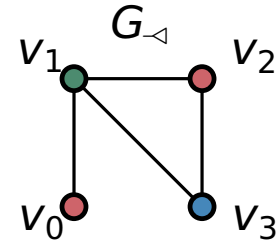
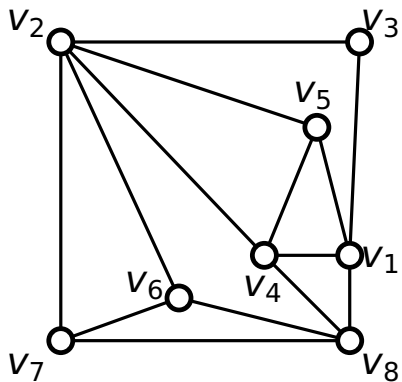


$$v_1v_3$$

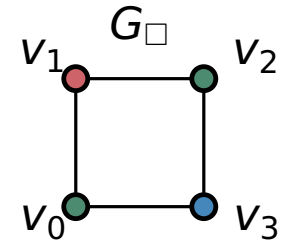


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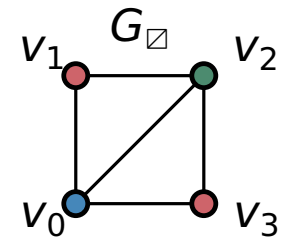
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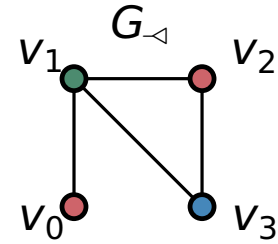
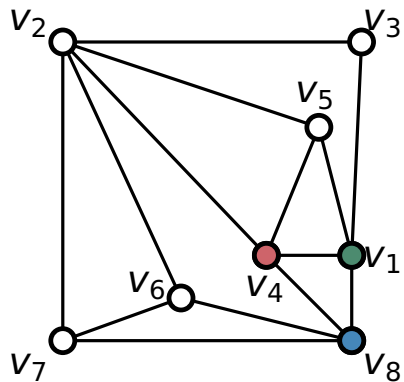
$$v_0v_2 \vee v_1v_3$$



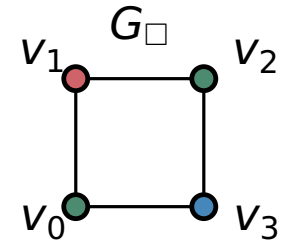
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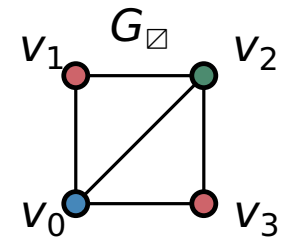
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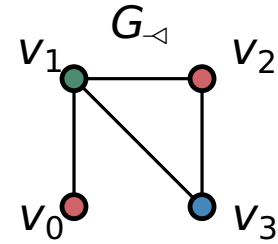
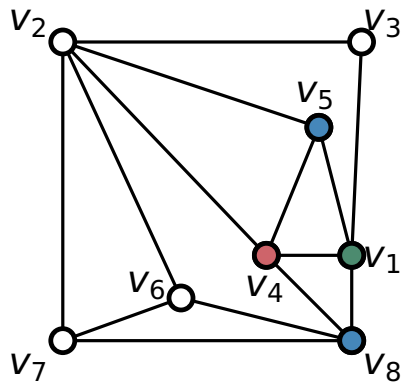
$$v_0v_2 \vee v_1v_3$$



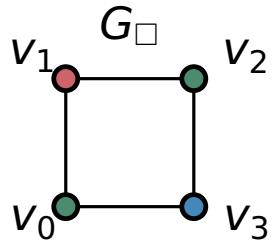
$$v_1v_3$$

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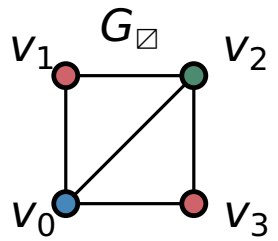
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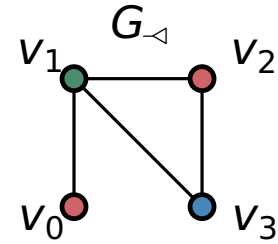
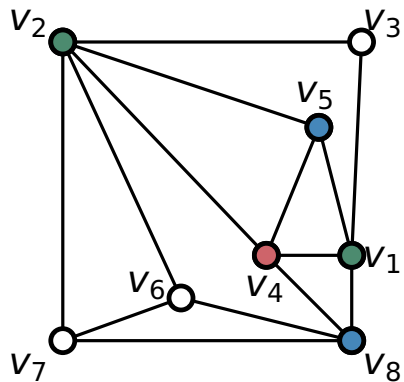
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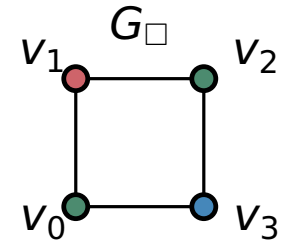
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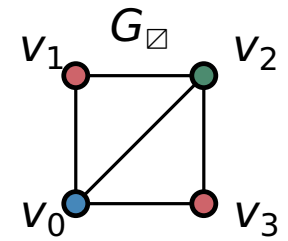
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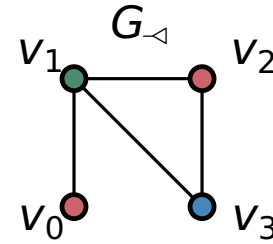
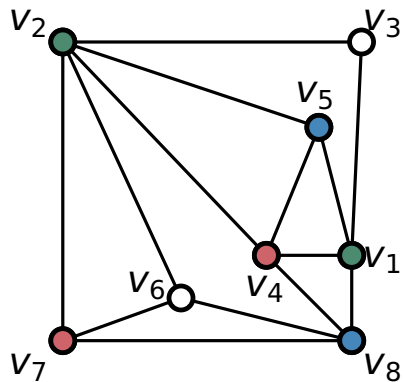
$$v_0v_2 \vee v_1v_3$$



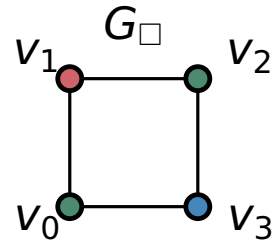
$$v_1v_3$$

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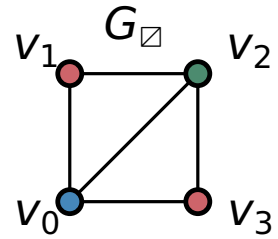
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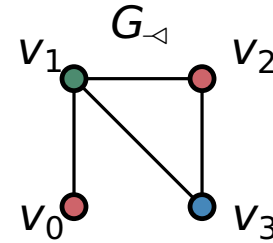
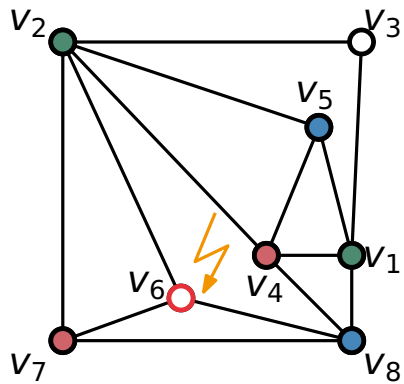
$$v_0v_2 \vee v_1v_3$$



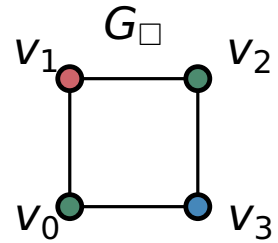
$$v_1v_3$$

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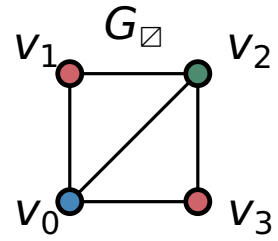
**Claim 1.** SAT formula is satisfiable  $\Leftrightarrow$  graph is 3-colorable



$$(v_0v_2 \vee v_0v_3) \wedge (\neg v_0v_2 \vee \neg v_0v_3)$$



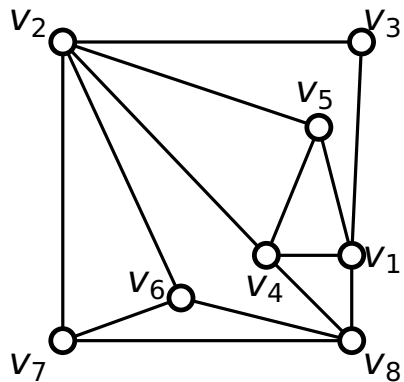
$$v_0v_2 \vee v_1v_3$$



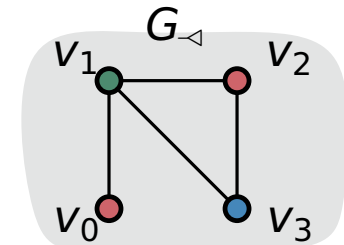
$$v_1v_3$$

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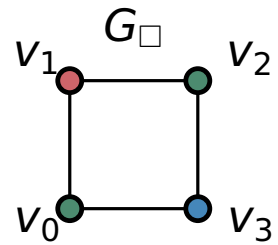
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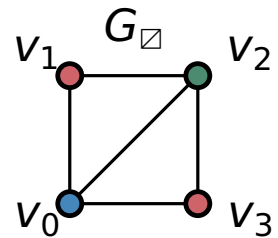
$$\begin{aligned}
 & (v_3v_4 \vee v_3v_5) \wedge (\neg v_3v_4 \vee \neg v_3v_5) \wedge \\
 & (v_1v_6 \vee v_1v_7) \wedge (\neg v_1v_6 \vee \neg v_1v_7) \wedge \\
 & (v_4v_6 \vee v_4v_7) \wedge (\neg v_4v_6 \vee \neg v_4v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_4v_7 \vee v_5v_7) \wedge (\neg v_4v_7 \vee \neg v_5v_7) \wedge
 \end{aligned}$$



$$\begin{aligned}
 & (v_0v_2 \vee v_0v_3) \wedge \\
 & (\neg v_0v_2 \vee \neg v_0v_3)
 \end{aligned}$$



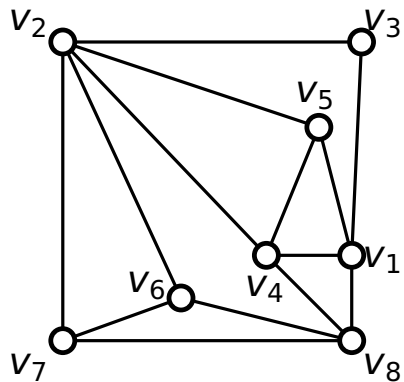
$$v_0v_2 \vee v_1v_3$$



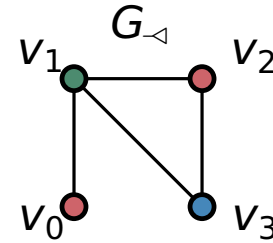
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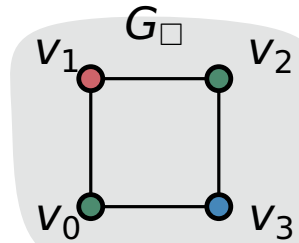
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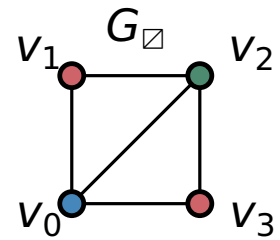
$$\begin{aligned}
 & (v_3v_4 \vee v_3v_5) \wedge (\neg v_3v_4 \vee \neg v_3v_5) \wedge \\
 & (v_1v_6 \vee v_1v_7) \wedge (\neg v_1v_6 \vee \neg v_1v_7) \wedge \\
 & (v_4v_6 \vee v_4v_7) \wedge (\neg v_4v_6 \vee \neg v_4v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_4v_7 \vee v_5v_7) \wedge (\neg v_4v_7 \vee \neg v_5v_7) \wedge \\
 & (v_1v_2 \vee v_3v_4) \wedge \\
 & (v_1v_2 \vee v_3v_5) \wedge
 \end{aligned}$$



$$\begin{aligned}
 & (v_0v_2 \vee v_0v_3) \wedge \\
 & (\neg v_0v_2 \vee \neg v_0v_3)
 \end{aligned}$$



$$v_0v_2 \vee v_1v_3$$

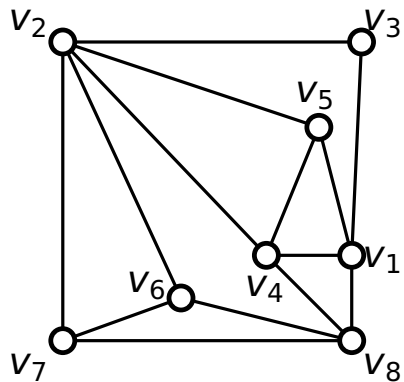


$$v_1v_3$$

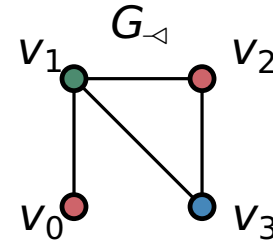


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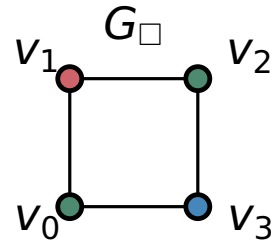
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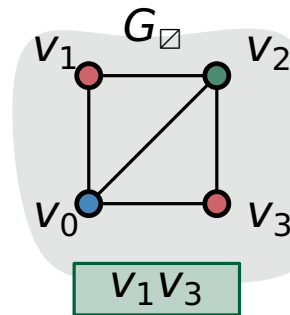
$$\begin{aligned}
 & (v_3v_4 \vee v_3v_5) \wedge (\neg v_3v_4 \vee \neg v_3v_5) \wedge \\
 & (v_1v_6 \vee v_1v_7) \wedge (\neg v_1v_6 \vee \neg v_1v_7) \wedge \\
 & (v_4v_6 \vee v_4v_7) \wedge (\neg v_4v_6 \vee \neg v_4v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_4v_7 \vee v_5v_7) \wedge (\neg v_4v_7 \vee \neg v_5v_7) \wedge \\
 & (v_1v_2 \vee v_3v_4) \wedge \\
 & (v_1v_2 \vee v_3v_5) \wedge \\
 & (v_1v_2) \wedge \\
 & (v_5v_8)
 \end{aligned}$$



$$\begin{aligned}
 & (v_0v_2 \vee v_0v_3) \wedge \\
 & (\neg v_0v_2 \vee \neg v_0v_3)
 \end{aligned}$$



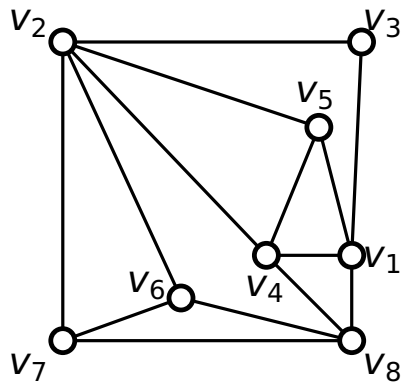
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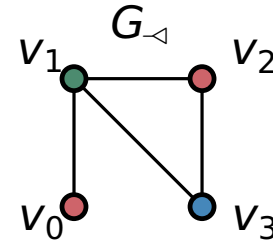
$$v_1v_3$$

# First Counterexample

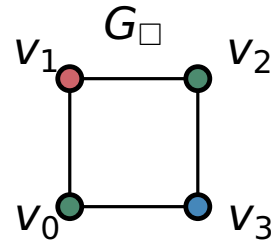
**Claim 1.** SAT formula is satisfiable  $\Leftrightarrow$  graph is 3-colorable



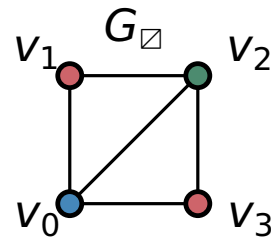
$$\begin{aligned}
 & (v_3v_4 \vee v_3v_5) \wedge (\neg v_3v_4 \vee \neg v_3v_5) \wedge \\
 & (v_1v_6 \vee v_1v_7) \wedge (\neg v_1v_6 \vee \neg v_1v_7) \wedge \\
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 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_5v_6 \vee v_5v_7) \wedge (\neg v_5v_6 \vee \neg v_5v_7) \wedge \\
 & (v_4v_7 \vee v_5v_7) \wedge (\neg v_4v_7 \vee \neg v_5v_7) \wedge \\
 & (v_1v_2 \vee v_3v_4) \wedge \\
 & (v_1v_2 \vee v_3v_5) \wedge \\
 & (v_1v_2) \wedge \\
 & (v_5v_8)
 \end{aligned}$$



$$(v_0v_2 \vee v_0v_3) \wedge (\neg v_0v_2 \vee \neg v_0v_3)$$



$$v_0v_2 \vee v_1v_3$$



$$v_1v_3$$

True	False
$v_1v_2$	$v_1v_6$
$v_1v_7$	$v_4v_7$
$v_2v_5$	$v_5v_6$
$v_3v_4$	$v_3v_5$
$v_4v_6$	
$v_5v_7$	
$v_5v_8$	

# Second Counterexample - Backtracking

**Claim 2.** Backtracking tree has  $O(\log n)$  leaves

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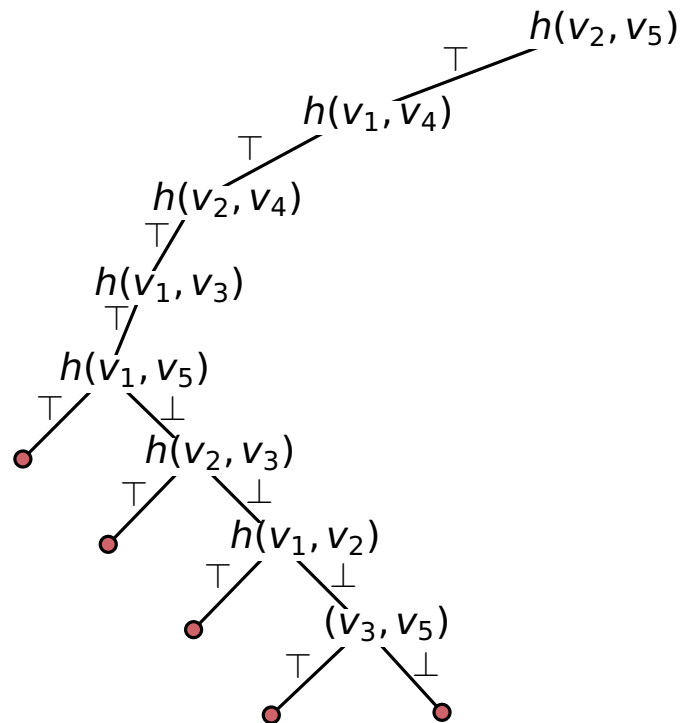
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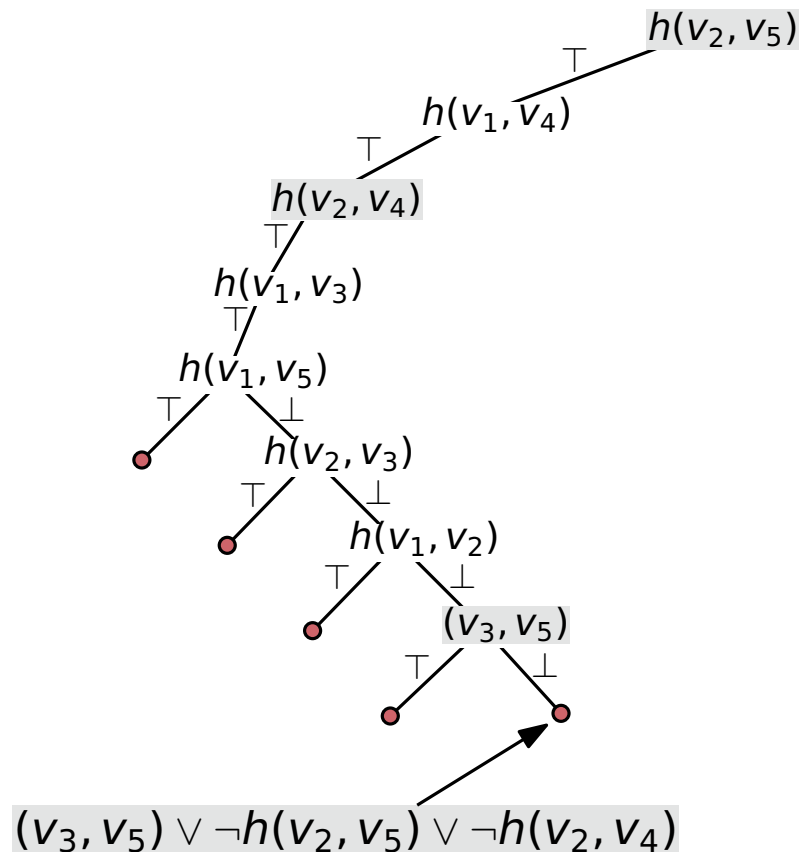
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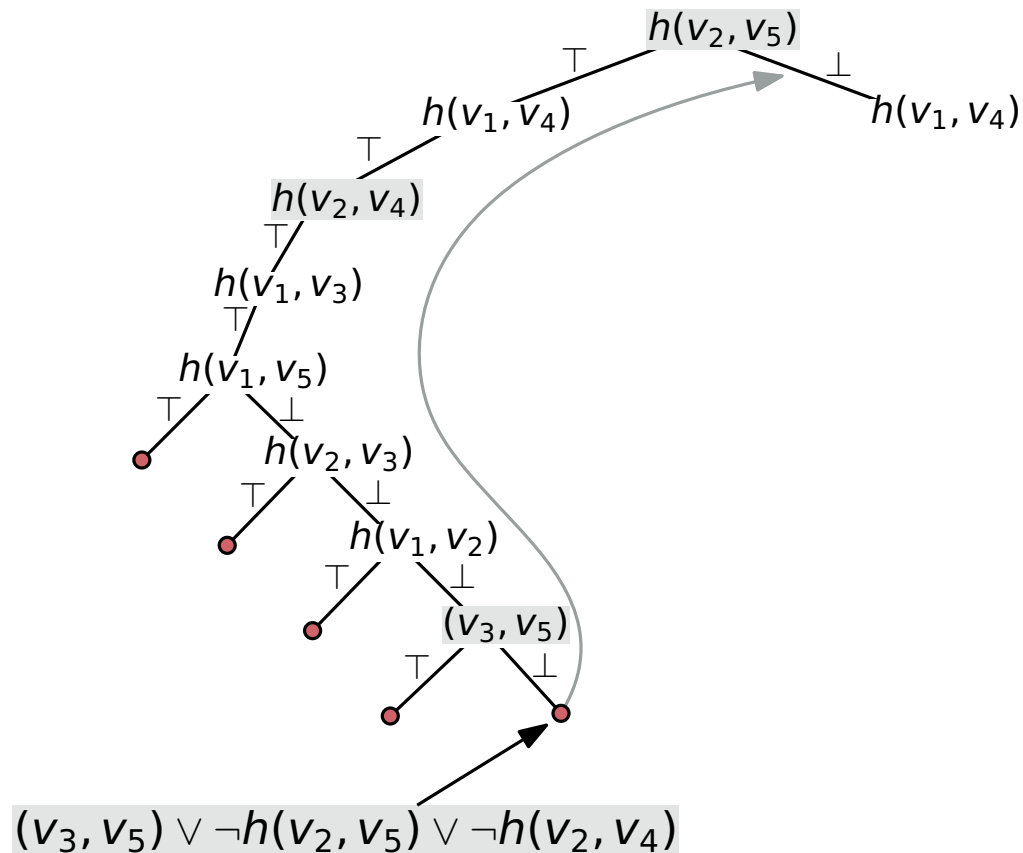
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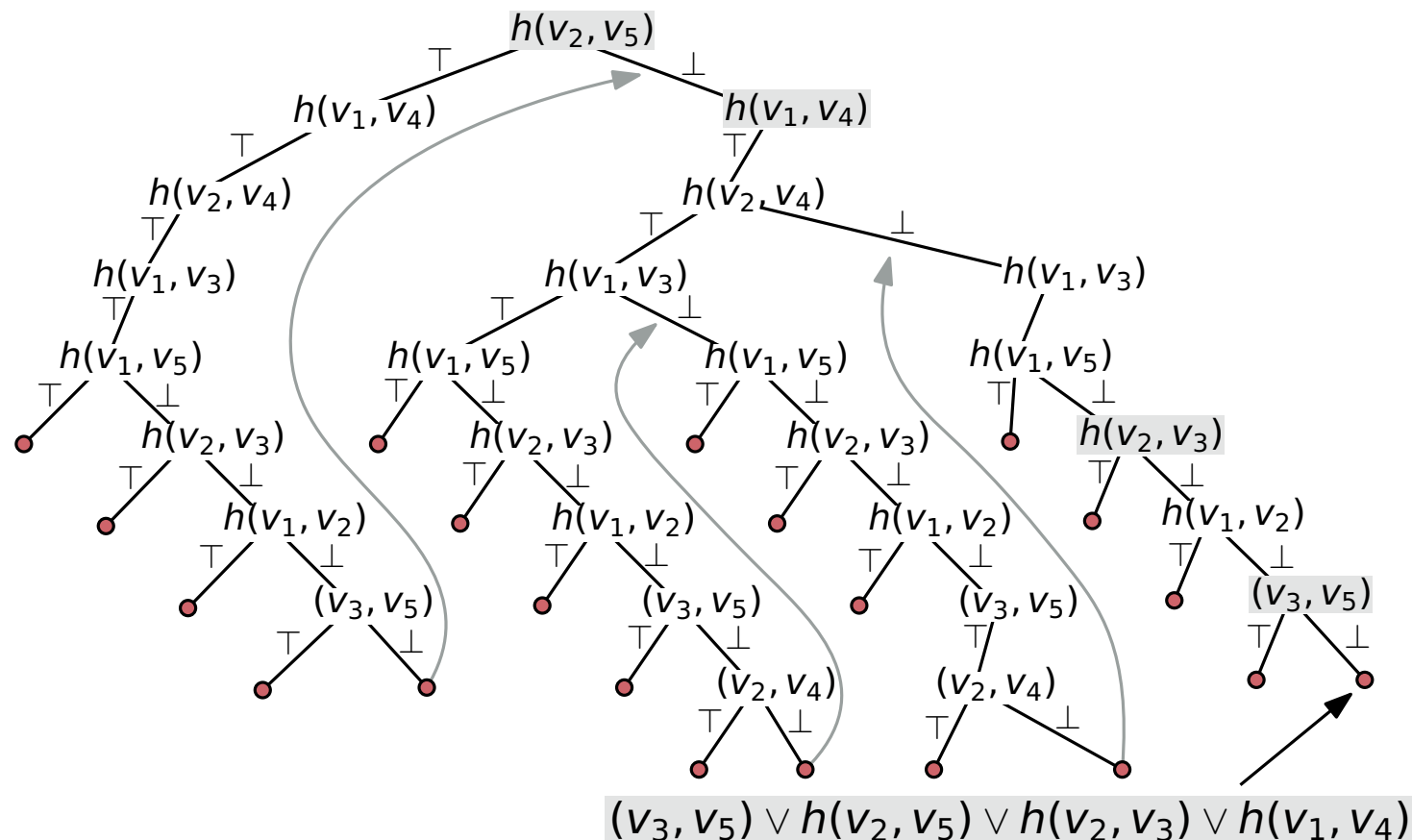
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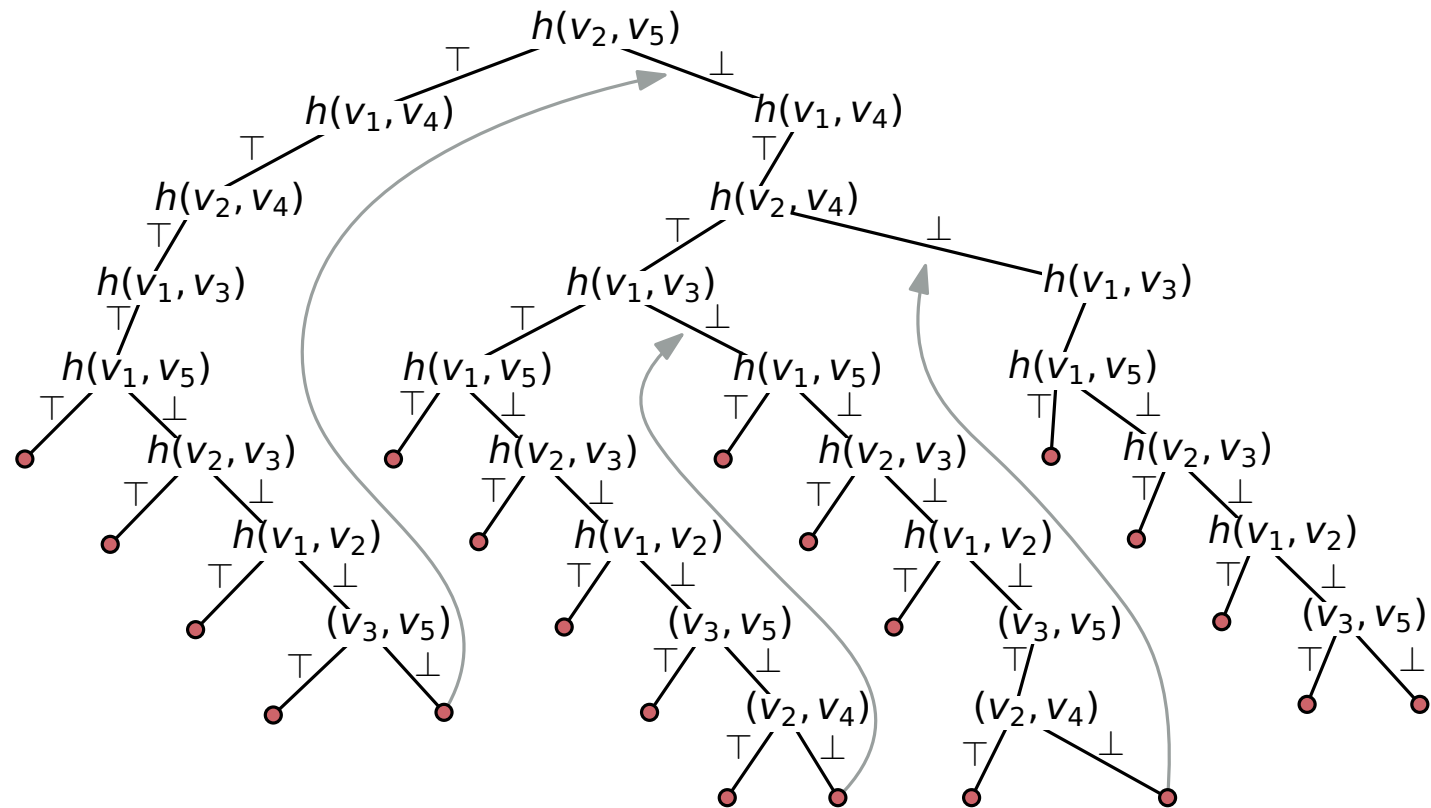




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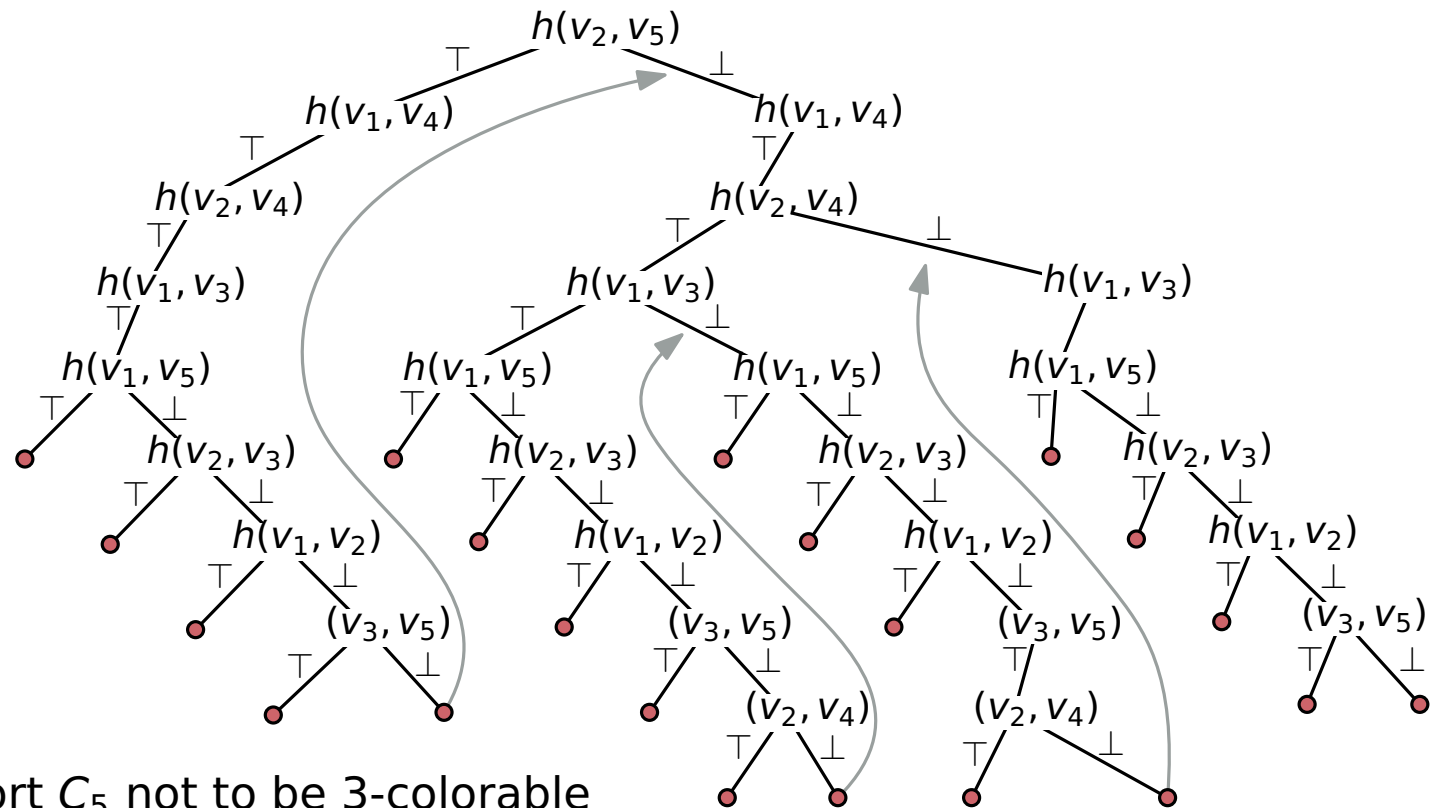
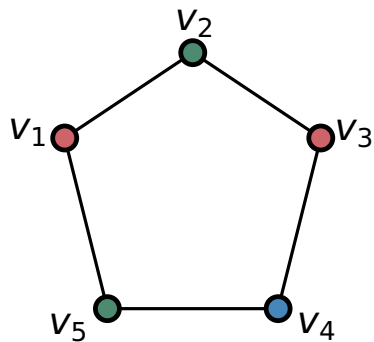
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- backtracking might report  $C_5$  not to be 3-colorable

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Goal: show how well Unger's algorithms hold up in practice

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Test data: 2196 random circle graphs with up to 750 vertices

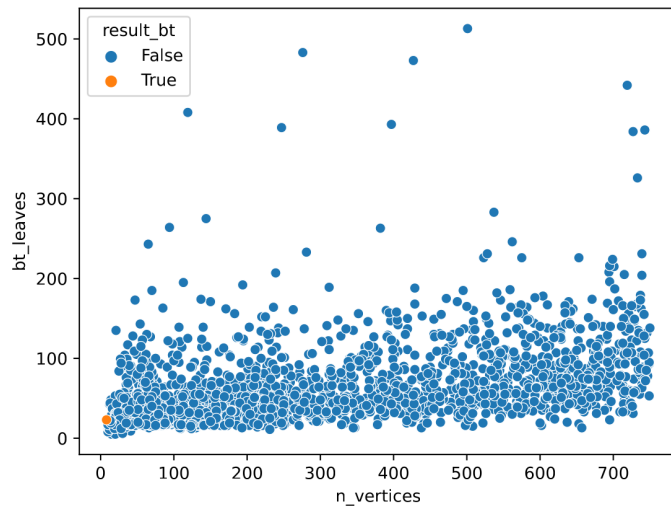
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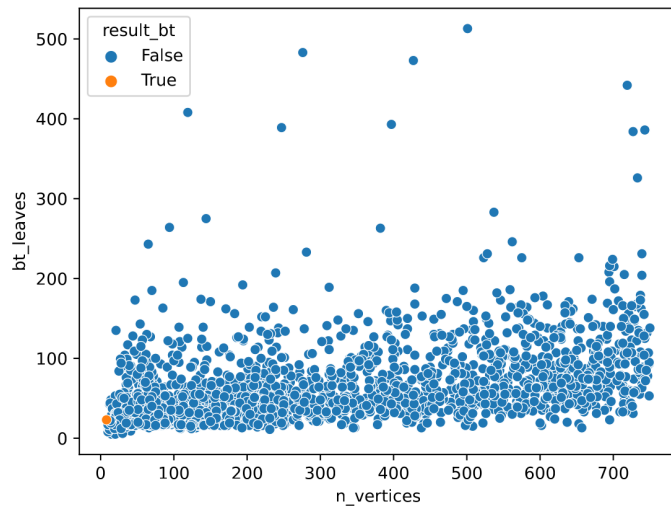
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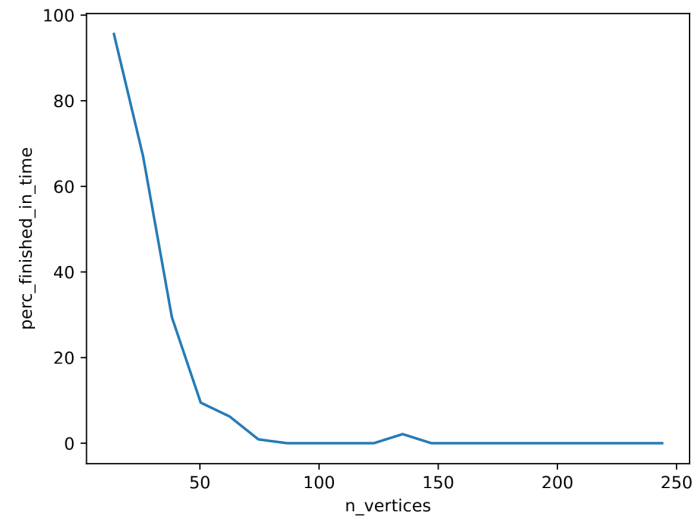
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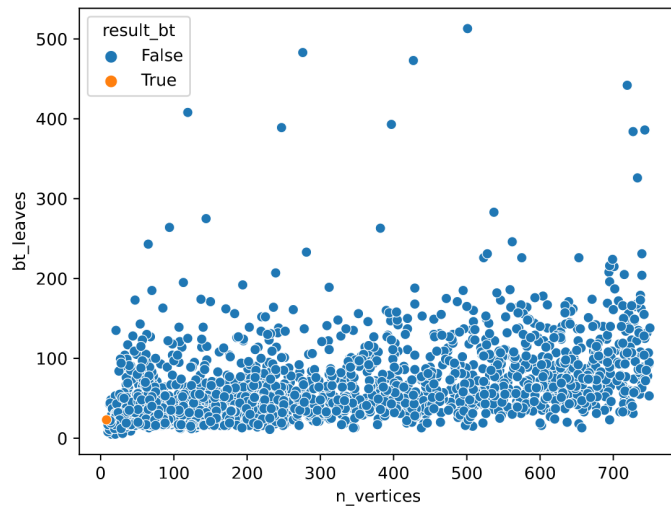
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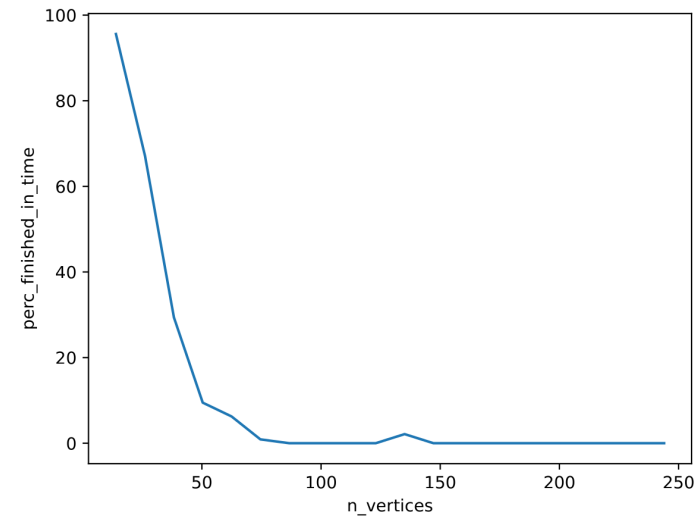
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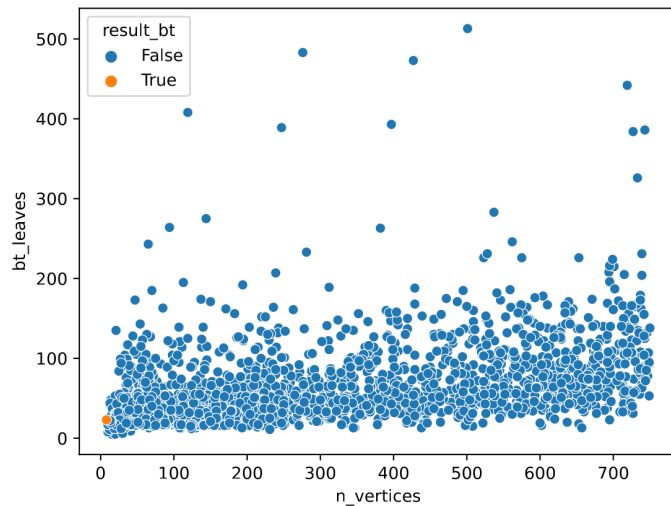
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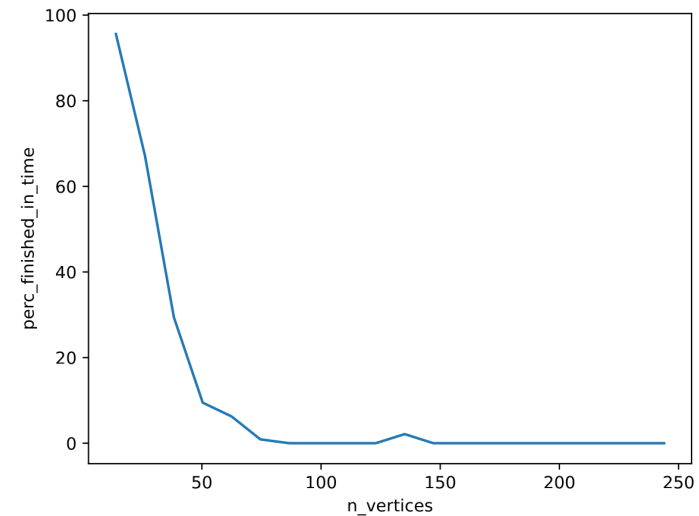
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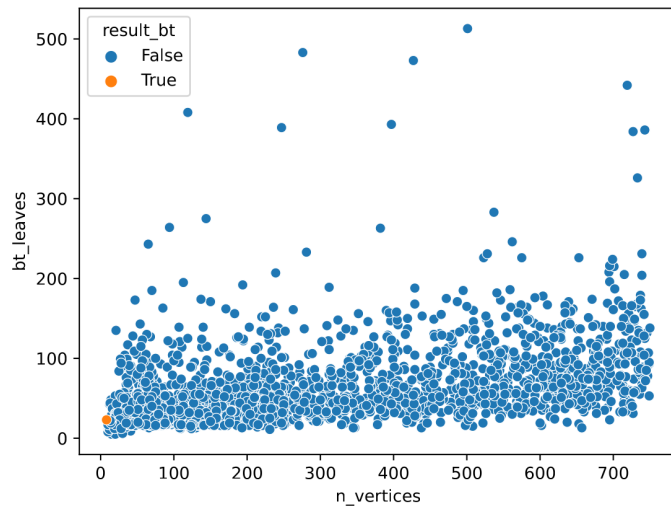


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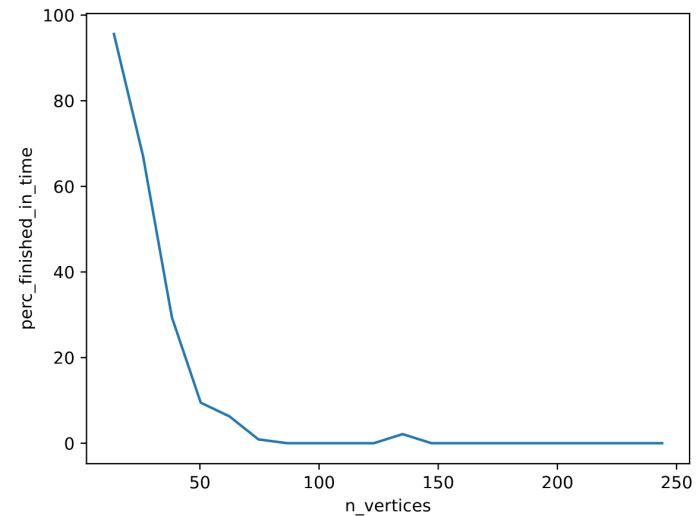
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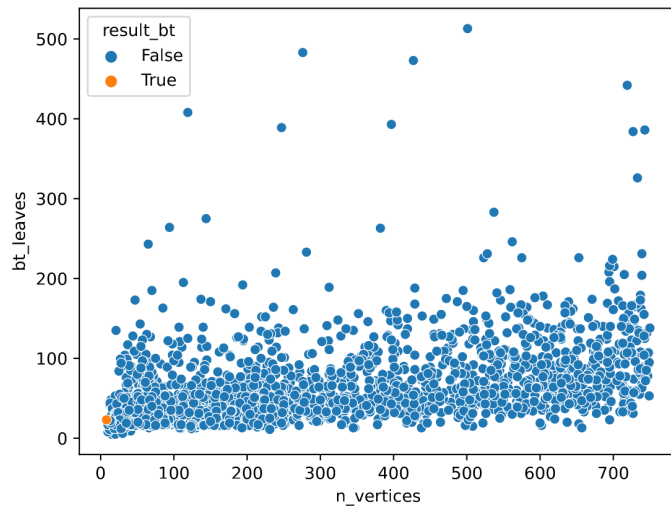
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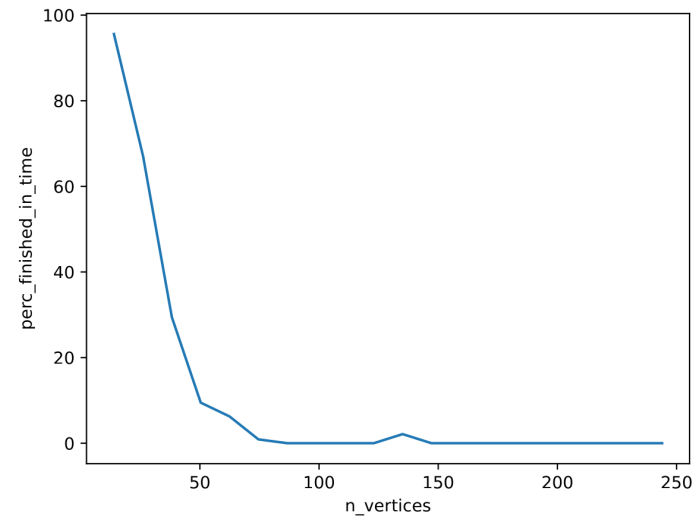
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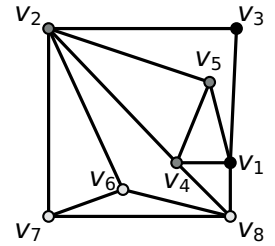
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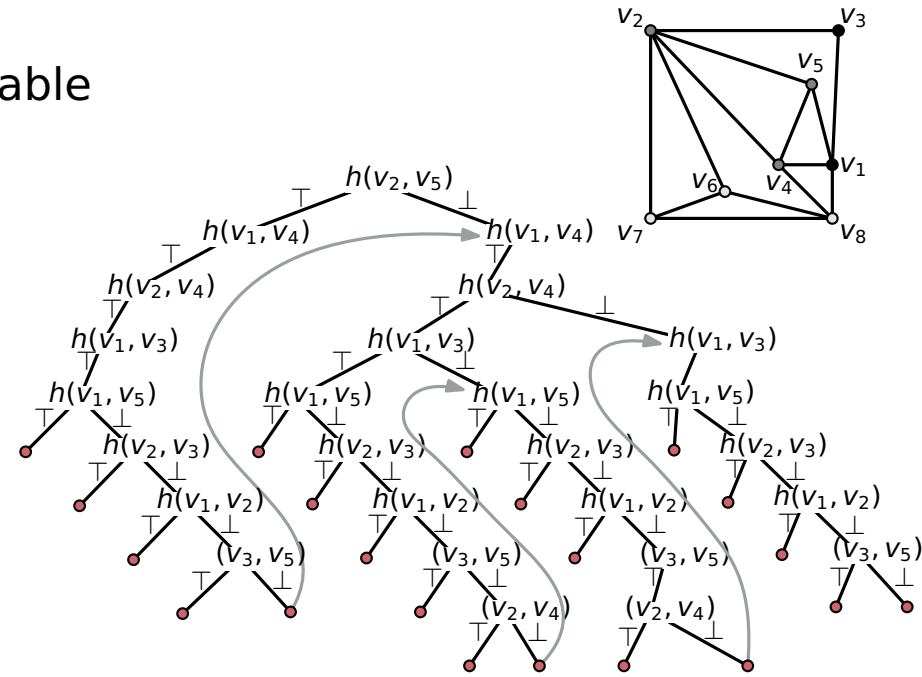
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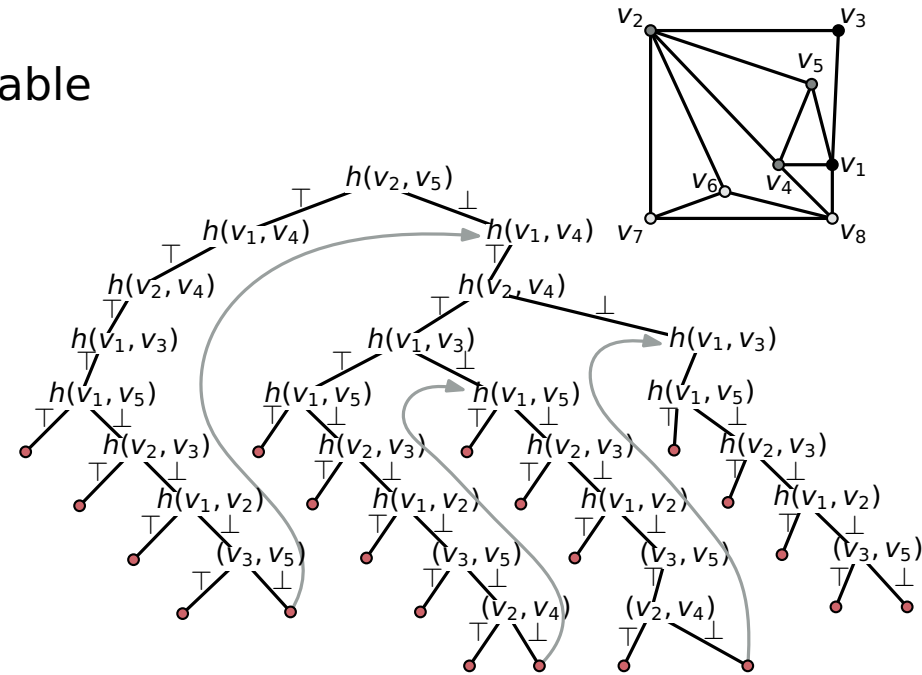
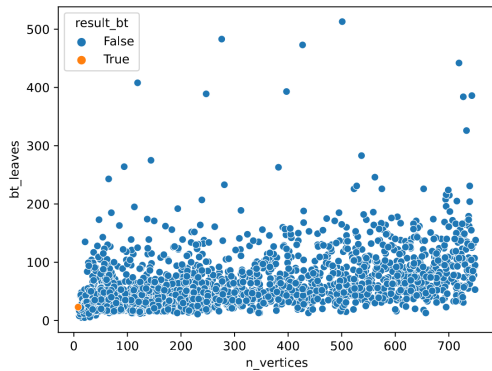
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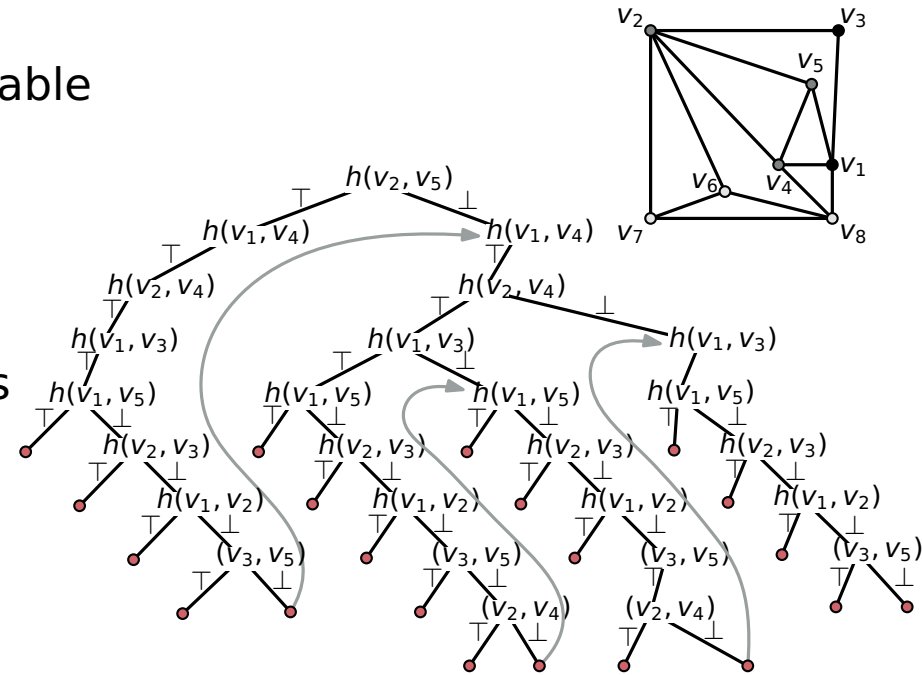
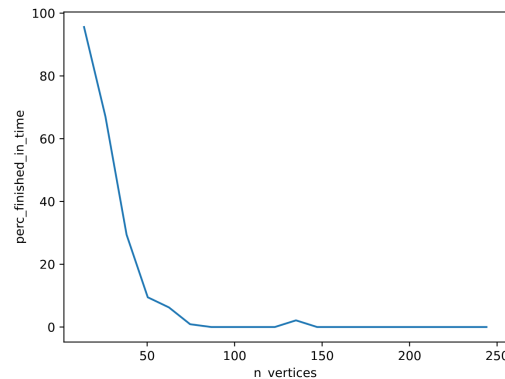
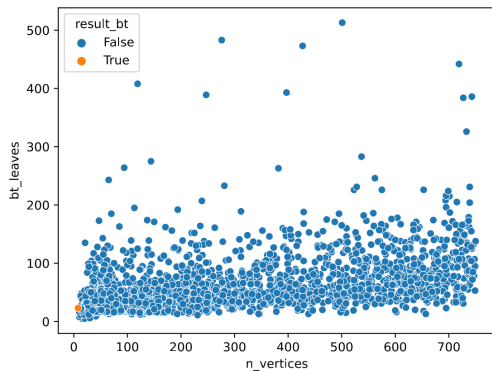
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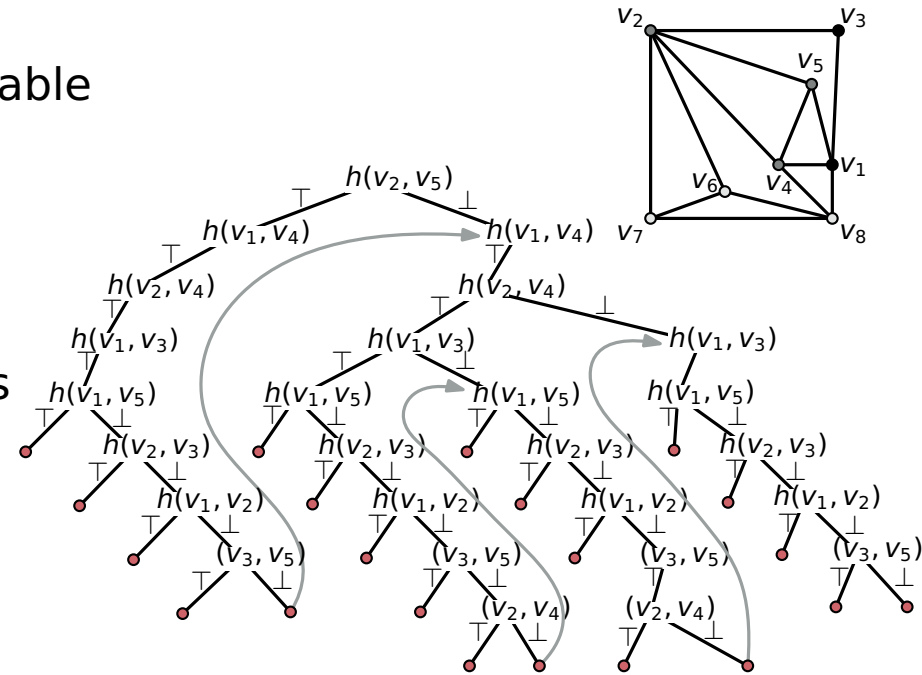
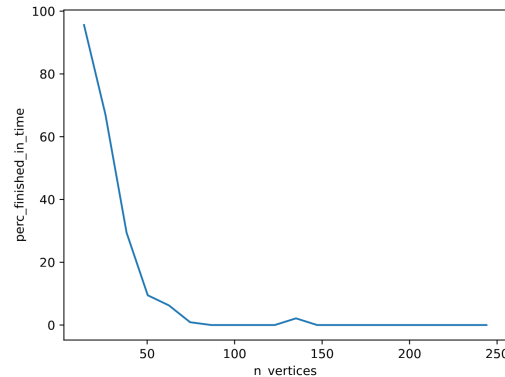
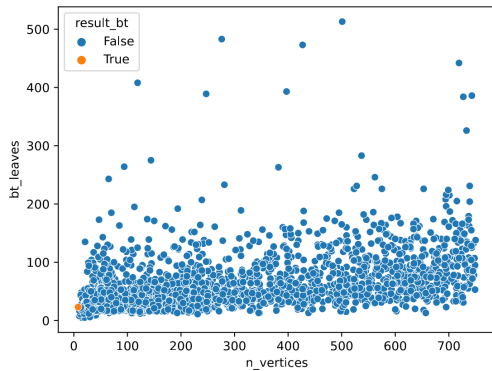
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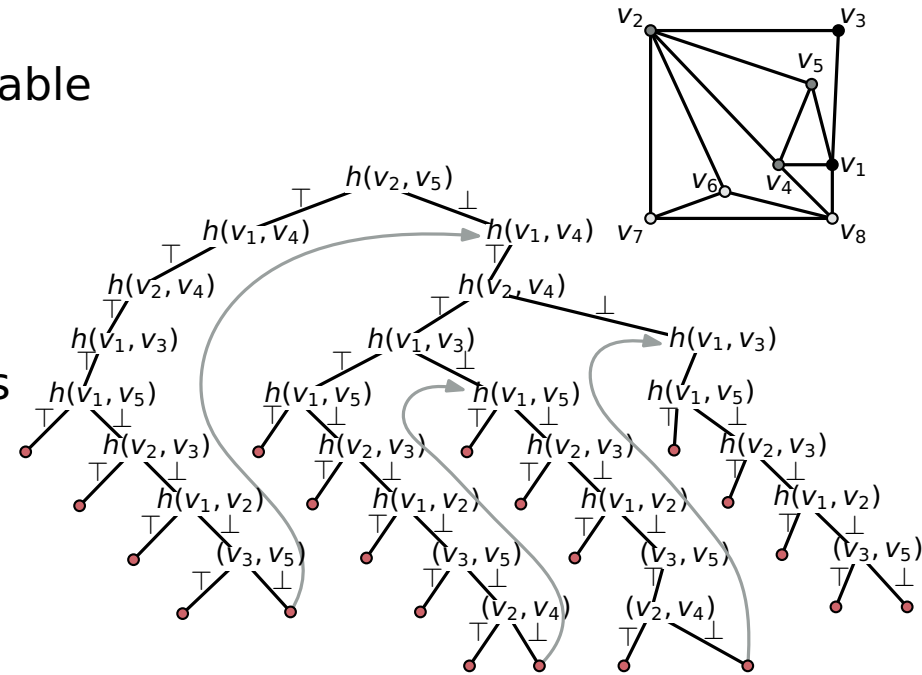
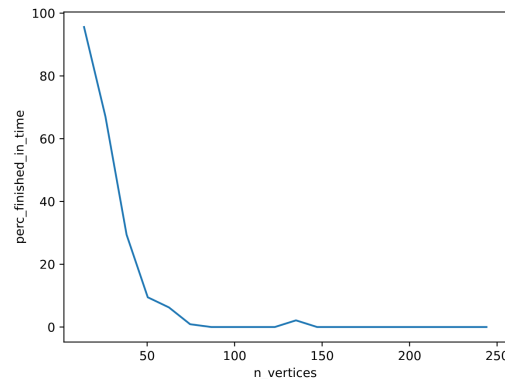
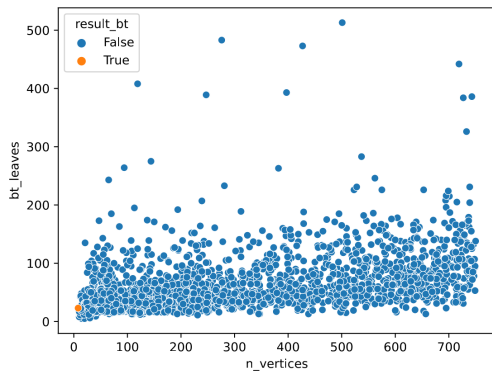
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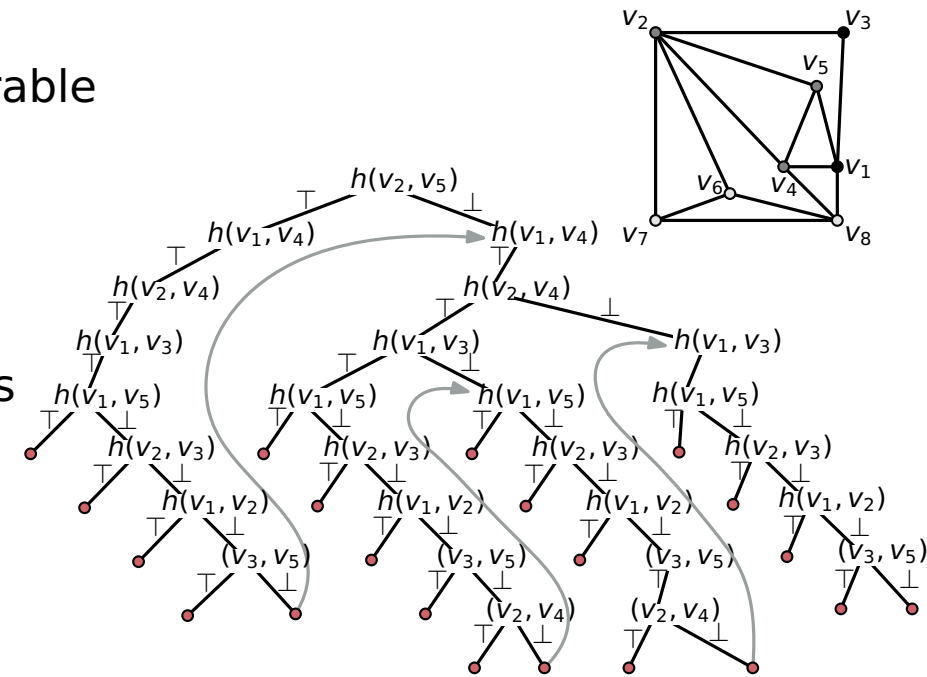
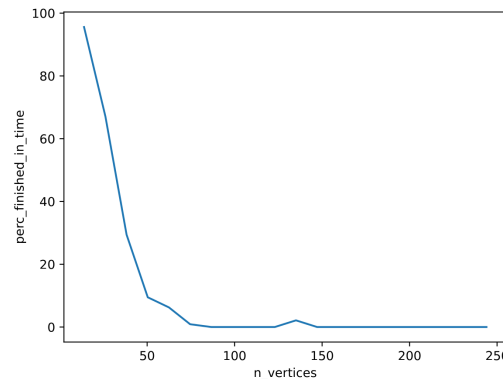
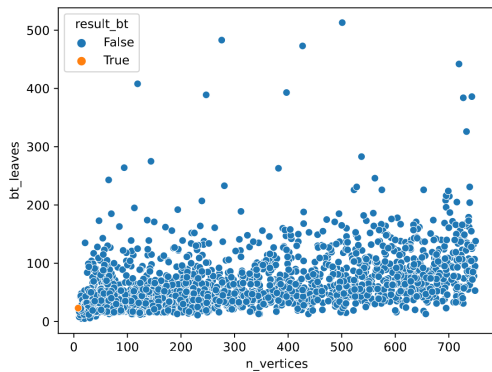
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- complexity of 3-coloring circle graphs with no induced cycles  $C_k$  where  $k > 4$